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LIMBACH & LIMBACH L.L.P.  
2001 Ferry Building, San Francisco, CA 94111  
415/433-4150

Address to:  
Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Attorney's Docket No. CTCH-1630  
[CIT-2123-4B1]  
First Named Inventor ROBERT H. GRUBBS

**UTILITY PATENT APPLICATION TRANSMITTAL**  
( under 37 CFR 1.53(b) )

SIR:

Transmitted herewith for filing is the patent application entitled:  
**HIGH METATHESIS ACTIVITY RUTHENIUM AND OSMIUM METAL CARBENE COMPLEXES**

**CERTIFICATION UNDER 37 CFR § 1.10**

I hereby certify that this New Application and the documents referred to as enclosed herein are being deposited with the United States Postal Service on this date January 15, 1998, in an envelope bearing "Express Mail Post Office To Addressee" Mailing Label Number EM503276238US addressed to: Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231.

HOWARD WONG

(Name of person mailing paper)

(Signature)

Enclosed are:

1. ☒ Transmittal Form (two copies required)
2. The papers required for filing date under CFR § 1.53(b):
  - i. 91 Pages of specification (including claims and abstract);
  - ii. 2 Sheets of drawings.  
         formal                      2 informal
3. Declaration or oath
  - a.      Newly executed (original or copy)
  - b. ☒ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Item 12 completed)

☒ Incorporation By Reference (to be used if Item 3b is checked)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Item 3b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

  - i.      DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
4.      Microfiche Computer Program (Appendix, see 37 CFR 1.96)
5.      Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - i.      Computer Readable Copy
  - ii.      Paper Copy (identical to computer copy)
  - iii.      Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

6.      An assignment of the invention to              is attached (including Form PTO-1595).
- ☒ The prior application is assigned of record to CALIFORNIA INSTITUTE OF TECHNOLOGY;  
Assignment recorded in PTO on October 10, 1996, Reel 8183, Frame(s) 0314.
- The prior application is assigned, and the assignment (copy attached) was submitted to PTO for recording on     .
- i.      37 CFR 3.73(b) Statement (when there is an assignee)

7. ☐ The power of attorney in the prior Application is to LIMBACH & LIMBACH L.L.P., 2001 Ferry Building, San Francisco, California, 94111, including W. Patrick Bengtsson, Reg. No. 32,456.
- i. ☐ The power appears in the original papers in the prior Application.
- ii. ☐ Since the power does not appear in the original papers, a copy of the power in the prior Application is enclosed.
- iii. ☐ A new power has been executed and is attached.
8. ☐ An Information Disclosure Statement (IDS) is enclosed, including a PTO-1449 and copies of ☐ references.
9. ☒ Preliminary Amendment.
10. ☒ Return Receipt Postcard (MPEP 503 -- should be specifically itemized)
11. ☐ Other

12. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information

- ☐ Continuation
- ☒ Divisional
- ☐ Continuation-In-Part (CIP)

of immediately prior application No: 08/693,789, filed July 31, 1996.

- i. RELATE BACK - 35 USC 120: If one of the above boxes is checked, please amend the specification by inserting before the first line the sentence: --This is a ☐ continuation ☒ divisional of Application No. 08/693,789, filed July 31, 1996.--

[Note to form user: lines for item 12 are intentionally spaced to permit Examiner amendments.]

- ii. MAINTENANCE OF COPENDENCY OF PRIOR APPLICATION  
(This item must be completed and the necessary papers filed in the prior application if the period set in the prior application has run).  
☒ A petition, fee and response has been filed to extend the term in the pending prior application until January 21, 1998.  
☐ A copy of the petition for extension of time in the prior application is attached.
- iii. CONDITIONAL PETITIONS FOR EXTENSION OF TIME IN PRIOR APPLICATION  
(Complete this item and file conditional petition in prior application if previous item (ii) not applicable).  
☐ A conditional petition for extension of time is being filed in the pending prior application.  
☐ A copy of the conditional petition for extension of time in the prior application is attached.

13. FOREIGN PRIORITY

- ☐ Priority of application no. ☐ filed on ☐ in ☐ is claimed under 35 USC 119.

The certified copy of the priority application:

- ☐ is filed herewith; or
- ☐ has been filed in prior application no. ☐ filed on ☐, or
- ☐ will be provided.

☐ English Translation Document (if applicable)

14. FEE CALCULATION

- a. ☒ Amendment changing number of claims or deleting multiple dependencies is enclosed.
- b. ☒ Cancel in this application original Claims 25-42 of the prior application before calculating the filing fee.

CLAIMS AS FILED

	Number Filed	Number Extra	Rate	Basic Fee (\$790)
Total Claims	24 - 20	* 4	x \$88.00	352.00
Independent Claims	2 - 3	* 0	x \$82.00	0
— Multiple dependent claim(s), if any			\$270.00	

\*If less than zero, enter "0".

Filing Fee Calculation . . . . . \$1,142.000

50% Filing Fee Reduction (if applicable) . . . . . \$571.00

15. Small Entity Status

- a. ☐ A small entity statement is enclosed.
- b. ☒ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
- c. ☐ is no longer claimed.

16. Other Fees

- ☐ Recording Assignment [\$40.00] . . . . . \$
- ☒ Other fees
- Specify Terminal Disclaimer . . . . . \$55.00

Total Fees Enclosed . . . . . \$626.00

17. Payment of Fees

- ☒ Check(s) in the amount of \$ 626.00 enclosed.
- Charge Account No. 12-1420 in the amount of \$     .
- A duplicate of this transmittal is attached.**

18. All correspondence regarding this application should be forwarded to the undersigned attorney:

W. Patrick Bengtsson  
Limbach & Limbach L.L.P.  
2001 Ferry Building  
San Francisco, CA 94111  
Telephone: 415/433-4150  
Facsimile: 415/433-8716

19. Authorization to Charge Additional Fees

- ☒ The Commissioner is hereby authorized to charge any additional fees (or credit any overpayment) associated with this communication and which may be required under 37 CFR § 1.16 or § 1.17 to Account No. 12-1420. **A duplicate of this transmittal is attached.**

LIMBACH & LIMBACH L.L.P.

January 15, 1998  
(Date)

Attorney Docket No. CTCH-1630  
[CIT-2123-4B1]

By: W. Patrick Bengtsson  
Registration No. 32,456  
Attorney(s) or Agent(s) of Record

Docket No. CTCH-1620

## HIGH METATHESIS ACTIVITY RUTHENIUM AND OSMIUM METAL CARBENE COMPLEXES

### INVENTORS:

Robert H. Grubbs, Peter Schwab, and SonBinh T. Nguyen

This application claims the benefit of U.S. Provisional application No. 60/001,862, filed August 3, 1995, and U.S. Provisional application No. 60/003,973, filed September 19, 1995, both of which are incorporated herein by reference.

The U.S. Government has certain rights in this invention pursuant to Grant No. CHE-8922072 awarded by the National Science Foundation.

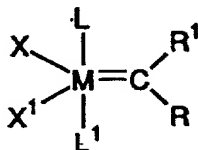
### BACKGROUND

This invention relates to highly active and stable ruthenium and osmium metal carbene complex compounds, their synthesis and use as catalysts for olefin metathesis reactions.

- 5 Transition-metal catalyzed C-C bond formation *via* olefin metathesis is of considerable interest and synthetic utility. Initial studies in this area were based on catalytically active mixtures consisting of transition-metal chlorides, oxides or oxychlorides, cocatalysts such as  $\text{EtAlCl}_2$  or  $\text{R}_4\text{Sn}$ , and promoters including  $\text{O}_2$ ,  
10 EtOH or PhOH. For example,  $\text{WCl}_6/\text{EtAlCl}_2/\text{EtOH}$  1:4:1. These systems catalyze olefin metathesis reactions, however their catalytic centers are ill-defined and systematic control of their catalytic activity is not possible.

Recent efforts have been directed towards the development of well-defined metathesis active catalysts based on transition metal complexes. The results of research efforts during the past two decades have enabled an in-depth understanding of the olefin metathesis reaction as catalyzed by early transition metal complexes. In contrast, the nature of the intermediates and the reaction mechanism for Group VIII transition metal catalysts have remained elusive. In particular, the oxidation states and ligation of the ruthenium and osmium metathesis intermediates are not known.

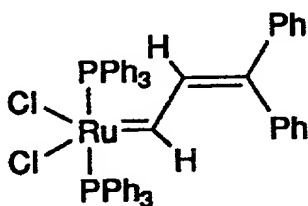
Group VIII transition metal olefin metathesis catalysts, specifically ruthenium and osmium carbene complexes, have been described in United States Patents No. 5,312,940 and 5,342,909 and United States Patent applications No. 08/282,826 and 08/282,827, all of which are incorporated herein by reference. The ruthenium and osmium carbene complexes disclosed in these patents and applications are of the general formula



where M is ruthenium or osmium, X and X<sup>1</sup> are anionic ligands, and L and L<sup>1</sup> are neutral electron donors.

United States Patents No. 5,312,940 and 5,342,909 disclose specific vinyl alkylidene ruthenium and osmium complexes and their use in catalyzing the ring opening metathesis polymerization ("ROMP") of strained olefins. In all of the specific alkylidene complexes disclosed in these patents, R<sup>1</sup> is hydrogen and R is either a substituted or unsubstituted vinyl group. For example, a preferred vinyl alkylidene complex disclosed in these patents is

COMPLEX A

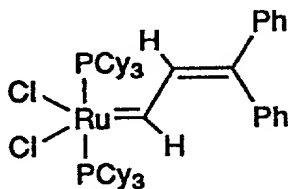


where Ph is phenyl.

United States Patent applications No. 08/282,826 and 08/282,827 disclose specific vinyl alkylidene ruthenium and osmium complexes and their use in catalyzing a variety of metathesis reactions. The catalysts disclosed in these applications have specific neutral electron donor ligands L and L<sup>1</sup>; namely, phosphines in which at least one substituent is a secondary-alkyl or cycloalkyl group. As in the above U.S. patents, in all of the specific alkylidene complexes

disclosed in the patent applications, R<sup>1</sup> is hydrogen and R is either a substituted or unsubstituted vinyl group. For example, a preferred vinyl alkylidene complex disclosed in these patent applications is

## COMPLEX B



where Cy is cyclohexyl.

Although the vinyl alkylidene complexes disclosed in the above patents and patent applications exhibit high metathesis activity and remarkable stability towards functional groups there are at least two drawbacks to these complexes as metathesis catalysts. First, the preparation of the vinyl alkylidene complexes requires a multi-step synthesis; and second, the vinyl alkylidene complexes have relatively low initiation rates. Both of these aspects of the vinyl alkylidene complexes are undesirable for their use as metathesis catalysts. The multi-step synthesis may be time consuming and expensive and may result in lower product yields. The low initiation rate may result in ROMP polymers with a broad

molecular weight distribution and prolonged reaction times in ring closing metathesis ("RCM") reactions.

For the reasons discussed above, there is a need for well-defined metathesis active catalysts that have the following characteristics:

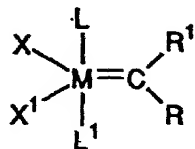
5 first, they are stable in the presence of a wide variety of functional groups; second, they can catalyze a variety of metathesis reactions including the metathesis of acyclic and unstrained cyclic olefins; third, they have a high initiation rate; and fourth, they are easily prepared. Furthermore, there is a need for olefin metathesis  
10 catalysts that can catalyze the ROMP of strained and unstrained cyclic olefins to yield polymers of very low polydispersity (i.e.,  $PDI \approx 1.0$ ) and that can catalyze the RCM of acyclic dienes with short reaction times.

## 15 SUMMARY

The present invention meets the above needs and provides well-defined ruthenium and osmium carbene compounds that are stable in the presence of a variety of functional groups and can be used to catalyze olefin metathesis reactions on unstrained cyclic and  
20 acyclic olefins. The compounds of the present invention are highly active in metathesis reactions and have high initiation rates.



In one embodiment of the present invention, the ruthenium and osmium carbene compounds have the formula



5

where M may be Os or Ru; R<sup>1</sup> is hydrogen; X and X<sup>1</sup> may be different or the same and are any anionic ligand; L and L<sup>1</sup> may be different or the same and are any neutral electron donor; and R may be hydrogen, substituted or unsubstituted alkyl, or substituted or unsubstituted aryl.

10

The ruthenium and osmium carbene complexes of the present invention are stable in the presence of a variety of functional groups. A consequence of this is that the alkyl and aryl R group may contain one or more functional groups including alcohol, thiol, ketone, aldehyde, ester, ether, amine, imine, amide, nitro, carboxylic acid, disulfide, carbonate, isocyanate, carbodiimide, carboalkoxy, and halogen groups.

15

R is preferably hydrogen, C<sub>1</sub>-C<sub>20</sub> alkyl, or aryl. The C<sub>1</sub>-C<sub>20</sub> alkyl may optionally be substituted with one or more aryl, halide, hydroxy, C<sub>1</sub>-C<sub>20</sub> alkoxy, or C<sub>2</sub>-C<sub>20</sub> alkoxycarbonyl groups. The aryl may optionally be substituted with one or more C<sub>1</sub>-C<sub>20</sub> alkyl, aryl, hydroxyl, C<sub>1</sub>-C<sub>5</sub> alkoxy, amino, nitro, or halide groups.

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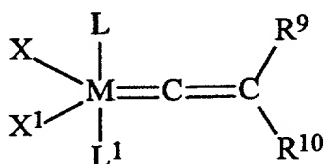
L and L<sup>1</sup> are preferably phosphines of the formula PR<sup>3</sup>R<sup>4</sup>R<sup>5</sup>, where R<sup>3</sup> is a secondary alkyl or cycloalkyl, and R<sup>4</sup> and R<sup>5</sup> are aryl, C<sub>1</sub>-C<sub>10</sub> primary alkyl, secondary alkyl, or cycloalkyl. R<sup>4</sup> and R<sup>5</sup> may be the same or different.

5 L and L<sup>1</sup> are are most preferably the same and are -  
P(cyclohexyl)<sub>3</sub>, -P(cyclopentyl)<sub>3</sub>, or -P(isopropyl)<sub>3</sub>.

X and X<sup>1</sup> are most preferably the same and are chlorine.

In another embodiment of the present invention, the ruthenium and osmium carbene compounds have the formula

10



where M may be Os or Ru; X and X<sup>1</sup> may be different or the same  
15 and are any anionic ligand; L and L<sup>1</sup> may be different or the same  
and are any neutral electron donor; and R<sup>9</sup> and R<sup>10</sup> may be different  
or the same and may be hydrogen, substituted or unsubstituted  
alkyl, or substituted or unsubstituted aryl. The R<sup>9</sup> and R<sup>10</sup> groups  
may optionally include one or more of the following functional  
20 groups: alcohol, thiol, ketone, aldehyde, ester, ether, amine, imine,  
amide, nitro, carboxylic acid, disulfide, carbonate, isocyanate,  
carbodiimide, carboalkoxy, and halogen groups

The ruthenium and osmium carbene compounds of the present invention may be used to catalyze olefin metathesis reactions including, but not limited to, ROMP, RCM, depolymerization of unsaturated polymers, synthesis of telechelic polymers, and olefin  
5 synthesis.

In the ROMP reaction, a compound according to the present invention is contacted with a cyclic olefin to yield a ROMP polymer product. In the RCM reaction, a compound according to the present invention is contacted with a diene to yield a ring-closed product. In  
10 the depolymerization reaction, a compound according to the present invention is contacted with an unsaturated polymer in the presence of an acyclic olefin to yield a depolymerized product. In the synthesis of telechelic polymers, a compound according to the present invention is contacted with a cyclic olefin in the presence of  
15 an  $\alpha,\omega$ -difunctional olefin to yield a telechelic polymer. In the olefin synthesis reaction, a compound according to the present invention is contacted with one or two acyclic olefins to yield self-metathesis or cross-metathesis olefin products.

Since the ruthenium and osmium carbene compounds of the  
20 present invention are stable in the presence of a variety of functional groups, the olefins involved in the above reactions may optionally be substituted with one or more functional groups including alcohol, ...

thiol, ketone, aldehyde, ester, ether, amine, imine, amide, nitro, carboxylic acid, disulfide, carbonate, isocyanate, carbodiimide, carboalkoxy, and halogen groups.

5 The above reactions may be carried out in aqueous, protic, or organic solvents or mixtures of such solvents. The reactions may also be carried out in the absence of a solvent. The reactants may be in the gas phase or liquid phase.

10 The ruthenium and osmium carbene compounds of the present invention may be synthesized using diazo compounds, by neutral electron donor ligand exchange, by cross metathesis, using acetylene, using cumulated olefins, and in a one-pot method using diazo compounds and neutral electron donors.

#### BRIEF DESCRIPTION OF DRAWINGS

15 The invention will be better understood by reference to the appended figures wherein:

Figures 1A and 1B are representative kinetic plots for acyclic metathesis of 1-hexene with  $\text{RuCl}_2(=\text{CHPh})(\text{PCy}_3)_2$  (complex 10) at  $0^\circ\text{C}$ ; and

20 Figure 2 is an ORTEP plot of  $\text{RuCl}_2(=\text{CH-}p\text{-C}_6\text{H}_4\text{Cl})(\text{PCy}_3)_2$  (complex 15).

### DETAILED DESCRIPTION

The abbreviations Me, Ph, <sup>i</sup>Pr or i-Pr, Cy, Cp, n-Bu, and THF refer to methyl, phenyl, isopropyl, cyclohexyl, cyclopentyl, n-butyl, and tetrahydrofuran, respectively.

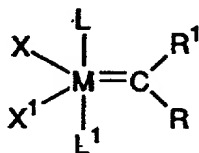
5           While previous investigations have explored the influence of the neutral electron donor and anionic ligands (i.e. L, L<sup>1</sup>, X, and X<sup>1</sup>) on the stability and utility of the ruthenium and osmium carbene complexes, the effect of variation of the alkylidene moieties (R and R<sup>1</sup>) had not been studied. By studying the effect of these

10           substituents, it has been discovered that ruthenium and osmium complexes containing the specific alkylidene moieties of the present invention have unexpectedly high initiation rates compared to the vinyl alkylidene complexes previously described. Quantitative data is included below that demonstrates that the initiation rates of the

15           complexes of the present invention are approximately a thousand times higher than the initiation rates of the corresponding vinyl alkylidene complexes. In addition to having unexpectedly high initiation rates, the complexes of the present invention are stable in the presence of a variety of functional groups and have high

20           metathesis activity allowing them to catalyze a variety of metathesis reactions including metathesis reactions involving acyclic and unstrained cyclic olefins.

The compounds of the present invention are ruthenium and osmium alkylidene complexes of the general formula



where R<sup>1</sup> is hydrogen and R is selected from the specific group described below. Generally X and X<sup>1</sup> can be any anionic ligand and L and L<sup>1</sup> can be any neutral electron donor. Specific embodiments of X, X<sup>1</sup>, L, and L<sup>1</sup> are described in detail in U.S. Patents No.

5,312,940 and 5,342,909 and U.S. Patent applications No. 08/282,826 and 08/282,827.

R may be hydrogen, substituted or unsubstituted alkyl, or substituted or unsubstituted aryl. The ruthenium and osmium carbene complexes of the present invention are stable in the presence of a variety of functional groups. A consequence of this is that the alkyl and aryl R groups may contain a variety of functional groups including alcohol, thiol, ketone, aldehyde, ester, ether, amine, imine, amide, nitro, carboxylic acid, disulfide, carbonate, isocyanate, carbodiimide, carboalkoxy, and halogen groups.

In a preferred embodiment R is hydrogen, C<sub>1</sub>-C<sub>20</sub> alkyl, or aryl. The C<sub>1</sub>-C<sub>20</sub> alkyl may optionally be substituted with one or more aryl, halide, hydroxy, C<sub>1</sub>-C<sub>20</sub> alkoxy, or C<sub>2</sub>-C<sub>20</sub> alkoxycarbonyl groups.

The aryl may optionally be substituted with one or more C<sub>1</sub>-C<sub>20</sub> alkyl, aryl, hydroxyl, C<sub>1</sub>-C<sub>5</sub> alkoxy, amino, nitro, or halide groups.

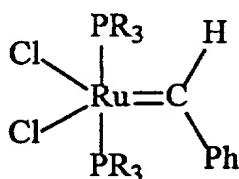
In a more preferred embodiment, R is hydrogen, C<sub>1</sub>-C<sub>4</sub> alkyl, phenyl, C<sub>1</sub>-C<sub>4</sub> alkyl substituted with one or more groups selected from the group consisting of halide, hydroxy, and C<sub>2</sub>-C<sub>5</sub> alkoxy, or phenyl substituted with one or more groups selected from the group consisting of C<sub>1</sub>-C<sub>5</sub> alkyl, C<sub>1</sub>-C<sub>5</sub> alkoxy, amino, nitro, and halide.

In a more preferred embodiment R may be hydrogen, methyl, ethyl, n-butyl, iso-propyl, -CH<sub>2</sub>Cl, -CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>OH, -CH<sub>2</sub>OAc, phenyl.

The phenyl may optionally be substituted with a chloride, bromide, iodide, fluoride, -NO<sub>2</sub>, -NMe<sub>2</sub>, methoxy, or methyl group. In a more preferred embodiment, the phenyl is para-substituted.

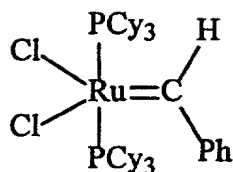
In a most preferred embodiment R is phenyl.

Preferred complexes of the present invention include



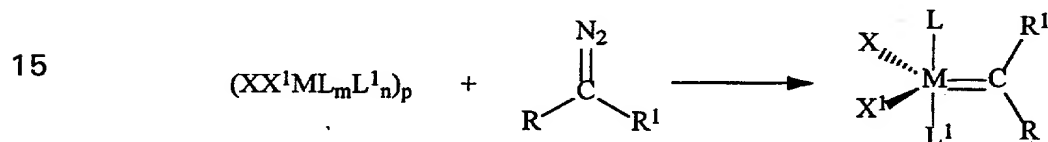
where R is cyclohexyl, cyclopentyl, iso-propyl, or phenyl.

The most preferred complex of the present invention is



5           The ruthenium and osmium alkylidene complexes of the present invention may be synthesized by a variety of different methods including those taught in P. Schwab et al. *Angew. Chem. Int. Ed. Engl.* **34**, 2039-2041 (1995), and P. Schwab et al. *J. Am. Chem. Soc.* **118**, 100-110 (1996), both of which are incorporated  
10           herein by reference.

          The ruthenium and osmium complexes of the present invention may be synthesized by alkylidene transfer from diazoalkanes. This synthetic method may generally be written as

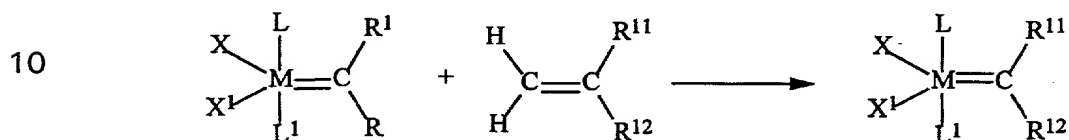


          where M, X, X<sup>1</sup>, L, L<sup>1</sup>, R and R<sup>1</sup> are as described above; m and n are independently 0-3 such that m + n = 3; and p is a positive integer. In the diazo synthesis, a compound of the formula (XX<sup>1</sup>ML<sub>n</sub>L<sub>m</sub><sup>1</sup>)<sub>p</sub> is  
20           contacted with a diazo compound of the formula RC(N<sub>2</sub>)R<sup>1</sup> to yield an alkylidene according to the present invention.



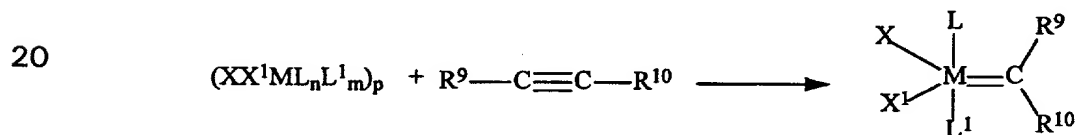
The ruthenium and osmium complexes of the present invention may also be synthesized by neutral electron donor ligand exchange as disclosed in U.S. Patents. No. 5,312,940 and 5,342,909 and U.S. Patent Applications No. 08/282,826 and 08/282,827.

The ruthenium and osmium complexes of the present invention may also be synthesized by cross metathesis. This method may generally be written as



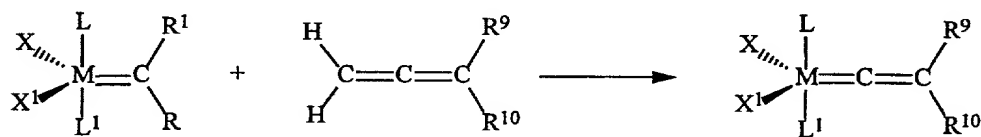
where  $\text{R}^{11}$  and  $\text{R}^{12}$  may be the same or different and may be hydrogen, substituted or unsubstituted alkyl, or substituted or unsubstituted aryl.

The ruthenium and osmium complexes of the present invention may also be synthesized using acetylene reactants. This method may generally be written as

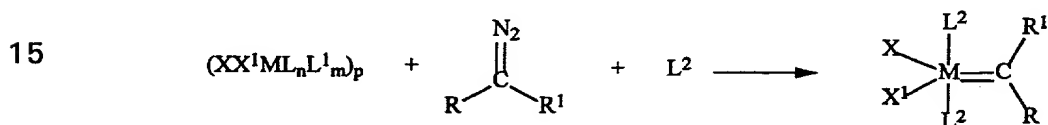


In the acetylene synthesis, a compound of the formula  $(XX^1ML_nL^1_m)_p$  is reacted with an acetylene compound of the formula  $R^9CCR^{10}$ , to yield an alkylidene according to the present invention.  $R^9$  and  $R^{10}$  may be the same or different and may be hydrogen, substituted or unsubstituted alkyl, or substituted or unsubstituted aryl.

The ruthenium and osmium complexes of the present invention may also be synthesized using cumulated olefins. This method may generally be written as



The ruthenium and osmium complexes of the present invention may also be synthesized by a "one pot" method that can generally be written as



In this method, a compound of the formula  $(XX^1ML_nL^1_m)_p$  is contacted with a diazo compound of the formula  $RC(N_2)R^1$  in the

presence of a neutral electron donor  $L^2$  to yield an alkylidene compound according to the present invention.

The catalysts of the present invention are highly active in metathesis reactions and may be used to catalyze a variety of metathesis reactions including, but not limited to, ROMP of strained and unstrained cyclic olefins, RCM of acyclic dienes, self- and cross-metathesis reactions involving at least one acyclic or unstrained cyclic olefin, depolymerization of olefinic polymers, acyclic diene metathesis polymerization ("ADMET"), alkyne polymerization, carbonyl olefination, and preparation of telechelic polymers.

ROMP, RCM, cross metathesis, depolymerization, and telechelic polymer reactions have been described in detail in U.S. patent application No. 08/282,827. Those skilled in the art can readily identify the appropriate conditions for carrying out these reactions using the complexes of the present invention. Any specific differences between the reactions disclosed in patent application No. 08/282,827 and those of the present invention are noted in the detailed descriptions given below.

Alkyne polymerization is described by R. Schlund et al. in *J. Am. Chem. Soc.* 1989, 111, 8004-8006, and by L.Y. Park et al. in *Macromolecules* 1991, 24 3489-3495, both of which are incorporated herein by reference. Carbonyl olefination is described

by K.A. Brown-Wensley et al. in *Pure Appl. Chem.* 1983, 55, 1733-1744, by A. Agüero et al. in *J. Chem. Soc., Chem. Commun.* 1986, 531-533, and by G.C. Bazan et al. in *Organometallics* 1991, 10, 1062-1067, all of which are incorporated herein by reference.

5 ADMET is described by K.B. Wagener et al. in *Macromolecules* 1991, 24, 2649-2657, which is incorporated herein by reference. Those skilled in the art can readily identify the appropriate conditions for carrying out these reactions using the complexes of the present invention.

10 We now describe specific examples of the synthesis and olefin metathesis reactions described above. For clarity, detailed reaction conditions and procedures are described in the final, "Experimental Procedures" section.

15

## SYNTHESIS OF ALKYLIDENE COMPLEXES

### Synthesis of $\text{RuCl}_2(=\text{CHR})(\text{PPh}_3)_2$ via Alkylidene Transfer from Diazoalkanes (Complexes 1-9)

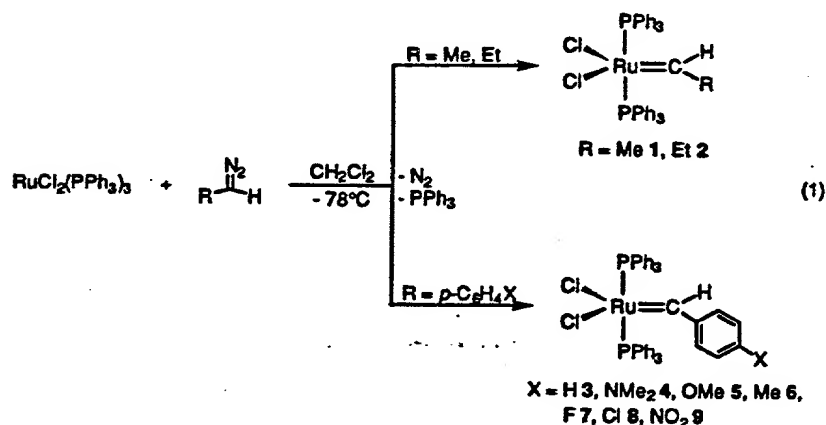
20 The alkylidene complexes of the present invention may be synthesized by the reaction of  $\text{RuCl}_2(\text{PPh}_3)_3$  with alkyl, aryl, and diaryldiazoalkanes. Generally, the synthesis reactions involve a

spontaneous  $N_2$  evolution at  $-78^\circ C$ , indicating rapid reaction of  $RuCl_2(PPh_3)_3$  with diazoethane, diazopropane or a para-substituted aryldiazoalkane of the formula  $p-C_6H_4XCHN_2$  to give  $RuCl_2(=CHR)(PPh_3)_2$  ( $R = Me$  [complex 1],  $Et$  [complex 2]) and

5  $RuCl_2(=CH-p-C_6H_4X)(PPh_3)_2$  ( $X = H$  [complex 3],  $NMe_2$  [complex 4],  $OMe$  [complex 5],  $Me$  [complex 6],  $F$  [complex 7],  $Cl$  [complex 8],  $NO_2$  [complex 9]), respectively (eq. 1). However, no reaction was observed with diphenyldiazomethane or 9-diazofluorene at RT, and reaction with diazomethane led to a complex mixture of unidentified

10 products.

EQUATION 1



20 Complexes 1-9 were isolated in 80-90% yield as green air-stable solids. In all of these reactions, transfer of the alkylidene moiety from the diazo compound to ruthenium was clearly indicated by the

characteristic downfield-resonances of  $H_\alpha$  and  $C_\alpha$  of the alkylidene moiety. Table I below lists selected NMR data for complexes 3-9.

TABLE I

Complex	X	$H_\alpha$	$J_{HP}(\text{Hz})$	$C_\alpha$	$J_{PC}(\text{Hz})$
3	H	19.56 <sup>a</sup>	10.2	310.12	11.4
4	NMe <sub>2</sub>	18.30	6.1	309.68	11.4
5	OMe	19.38 <sup>a</sup>	8.7	309.20	10.7
6	Me	19.55 <sup>a</sup>	9.6	309.17	10.9
7	F	19.24	9.0	307.51	11.4
8	Cl	19.27	9.2	307.34	10.6
9	NO <sub>2</sub>	19.47	10.8	313.43	11.2

Spectra taken in  $\text{CD}_2\text{Cl}_2$  (in ppm) unless indicated

otherwise.

a In  $\text{C}_6\text{D}_6$  (in ppm).

In analogy to the structurally characterized vinyl alkylidene  $\text{RuCl}_2(=\text{CH}-\text{CH}=\text{CPh}_2)(\text{PPh}_3)_2$  (Complex A), these resonances appear

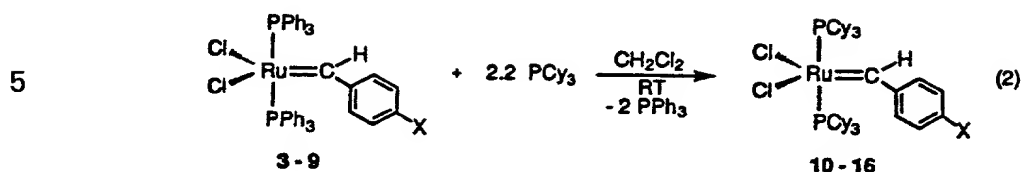
as triplets due to  $^{31}\text{P}$  coupling. These spectroscopic data suggest that the phosphines are mutually trans and that the alkylidene unit lies in the P-Ru-P-plane. Additionally, the chemical shifts of  $\text{H}_\alpha$  and  $\text{C}_\alpha$  in complexes 3-9 are downfield compared to  $\text{RuCl}_2(=\text{CH}-\text{CH}=\text{CPh}_2)(\text{PPh}_3)_2$  (Complex A) ( $\delta \text{H}_\alpha = 17.94$ ,  $\text{C}_\alpha = 288.9$  ppm), possibly attributed to the relatively reduced conjugation of the alkylidene unit of complexes 3-9. This phenomenon might also be responsible for the relative instability of complexes 1-9 in solution. These complexes decompose within several hours via bimolecular pathways as evidenced by the formation of the corresponding disubstituted olefins  $\text{RCH}=\text{CHR}$  ( $\text{R} = \text{Me, Et, } p\text{-C}_6\text{H}_4\text{X}$ ).

**Synthesis of  $\text{RuCl}_2(=\text{CH-}p\text{-C}_6\text{H}_4\text{X})(\text{PCy}_3)_2$  via Phosphine exchange (Complexes 10-16)**

To broaden the synthetic utility of the triphenylphosphine catalysts, analogous trialkylphosphine derivatives of complexes 3-9 were prepared by phosphine exchange. Treatment of complexes 3-9 with 2.2 equiv. tricyclohexylphosphine at RT afforded, after work-up,  $\text{RuCl}_2(=\text{CH-}p\text{-C}_6\text{H}_4\text{X})(\text{PCy}_3)_2$  ( $\text{X} = \text{H}$  [complex 10],  $\text{NMe}_2$  [complex 11],  $\text{OMe}$  [complex 12],  $\text{Me}$  [complex 13],  $\text{F}$  [complex 14],  $\text{Cl}$  [complex 15],  $\text{NO}_2$  [complex 16]), as purple (complex 11 is green)

microcrystalline solids in high yields according to the following reaction:

## EQUATION 2



The fully-characterized compounds were air-stable in the solid state and did not show any signs of decomposition in solution ( $\text{CH}_2\text{Cl}_2$  or  $\text{C}_6\text{H}_6$ ), even when heated to  $60^\circ\text{C}$  or in presence of alcohols, amines or water. Selected solution NMR data for complexes 10-16 are listed in Table II. As can be seen from this data, in contrast to the  $\text{PPh}_3$  complexes 3-9, no  $^{31}\text{P}$  coupling was observed for the  $\text{H}_a$  resonances of complexes 10-16 in the  $^1\text{H}$  NMR. The chemical shifts of these resonances are dependent on the electronic nature of the X substituent.

TABLE II

Complex	X	$\text{H}_a$	$\text{C}_a$	$\text{J}_{\text{PC}}(\text{Hz})$
10	H	20.02	294.72	7.6
11	$\text{NMe}_2$	18.77	286.13	a



12	OMe	19.48	290.90	a
13	Me	19.80	293.86	8.3
14	F	19.86	291.52	8.6
15	Cl	19.98	291.46	8.0
16	NO <sub>2</sub>	20.71	289.07	7.6

Spectra taken in CD<sub>2</sub>Cl<sub>2</sub> (in ppm).

a      broad signal

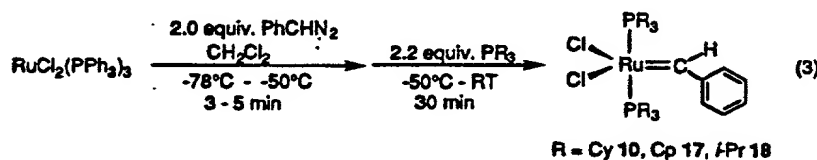
The lack of <sup>31</sup>P coupling suggests that the alkylidene moiety is perpendicular to the P-Ru-P-plane as in RuCl<sub>2</sub>(=CH-CH=CPh<sub>2</sub>)(PCy<sub>3</sub>)<sub>2</sub> (Complex B). Also, the resonance shifts' dependency on the electronic nature of the X substituent suggests a high degree of conjugation between the carbene carbon and the aromatic ring of the benzylidene moiety.

#### One-pot Synthesis of RuCl<sub>2</sub>(=CHPh)(PR<sub>3</sub>)<sub>2</sub> (Complexes 10, 17 and 18)

Due to the relative instability of the intermediate RuCl<sub>2</sub>(=CHPh)(PPh<sub>3</sub>)<sub>2</sub> (complex 3) in solution, RuCl<sub>2</sub>(=CHPh)(PCy<sub>3</sub>)<sub>2</sub> (complex 10) can be synthesized in 75 - 80% yield from

RuCl<sub>2</sub>(PPh<sub>3</sub>)<sub>3</sub>. However, avoiding isolation of complex 3 and adding tricyclohexylphosphine at  $\approx -50^\circ\text{C}$  shortly after RuCl<sub>2</sub>(PPh<sub>3</sub>)<sub>3</sub> was treated with phenyldiazomethane, complex 10 can be obtained in nearly quantitative yield in less than 1 hour in a so-called "one pot synthesis". The same procedure can also be applied to the synthesis of more soluble derivatives including RuCl<sub>2</sub>(=CHPh)(PR<sub>3</sub>)<sub>2</sub> where R is Cp (complex 17) or R is <sup>i</sup>Pr (complex 18) that exhibit comparable metathesis activity, according to the following reaction:

## EQUATION 3



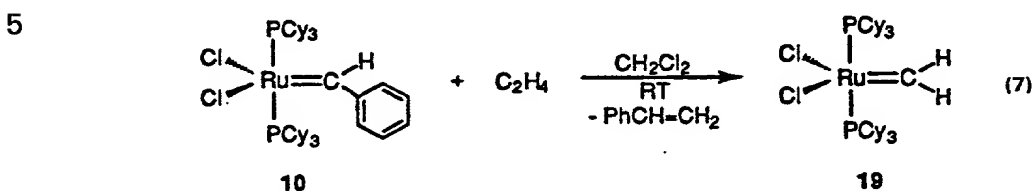
### 15      Synthesis of Methylidene Complex RuCl<sub>2</sub>(=CH<sub>2</sub>)(PCy<sub>3</sub>)<sub>2</sub> (Complex 19)

Whereas RuCl<sub>2</sub>(=CH-CH=CPh<sub>2</sub>)(PCy<sub>3</sub>)<sub>2</sub> (Complex B) reacts with ethylene under 100 psi pressure at 50°C in CD<sub>2</sub>Cl<sub>2</sub> within several hours to reach an equilibrium of RuCl<sub>2</sub>(=CH-

20      CH=CPh<sub>2</sub>)(PCy<sub>3</sub>)<sub>2</sub> (Complex B) and RuCl<sub>2</sub>(=CH<sub>2</sub>)(PCy<sub>3</sub>)<sub>2</sub> (complex 19) in a ratio of 80:20, benzylidene RuCl<sub>2</sub>(=CHPh)PCy<sub>3</sub>)<sub>2</sub> (complex

10) is quantitatively converted to the methyldene complex 19 within a few minutes at RT under 14 psi of ethylene (eq. 7).

## EQUATION 7



Complex 19 is isolated as a red-purple, air-stable solid. A

10 pentacoordinate ruthenium center may be inferred from the analytic and spectroscopic data. Methyldene complex 19 is less stable in solution than benzylidene complex 10; decomposition is observed after 12 hours in solution ( $\text{CH}_2\text{Cl}_2$ ,  $\text{C}_6\text{H}_6$ ). The decomposition rate increases as catalyst solutions are heated. Among all isolated

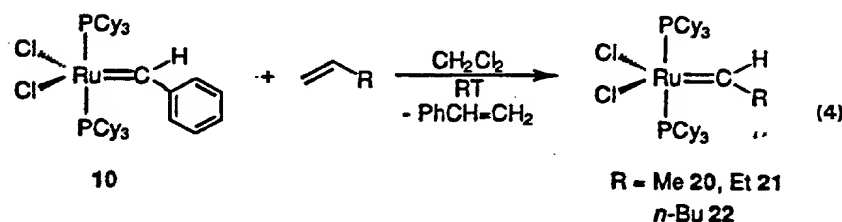
15 methyldene complexes including  $\text{RuCl}(\text{NO})(\text{CH}_2)(\text{PPh}_3)_2$  and  $\text{Ir}=\text{CH}_2(\text{N}(\text{SiMe}_2\text{-CH}_2\text{PPh}_2)_2)$ , complex 19 is the first isolable metathesis-active methyldene complex. Complex 19 has a high activity and exhibits a similar stability towards functional groups as benzylidene complex 10, as shown in the ROMP of cyclooctene and

20 1,5-cyclooctadiene and in ring-closing metathesis of diethyldiallyl malonate.

**Synthesis of substituted alkylidene complexes via cross metathesis**

The rapid reaction of  $\text{RuCl}_2(=\text{CHPh})(\text{PCy}_3)_2$  (complex 10) with ethylene to give  $\text{RuCl}_2(=\text{CHPh})(\text{PCy}_3)_2$  (complex 19) has prompted extension by the inventors of these metathesis studies to terminal and disubstituted olefins. Although olefin metathesis is an equilibrium process, the kinetic products may be isolated under certain conditions. Indeed, complex 10 is quantitatively converted to the alkylidenes according to the formula  $\text{RuCl}_2(=\text{CHR})(\text{PCy}_3)_2$  [ $\text{R} = \text{Me}$  (complex 20),  $\text{R} = \text{Et}$  (complex 21),  $\text{R} = n\text{-Bu}$  (complex 22)] when reacted with a tenfold excess of propene, 1-butene or 1-hexene, respectively. In each case, an equimolar amount of styrene was formed and spectroscopically identified (eq. 4).

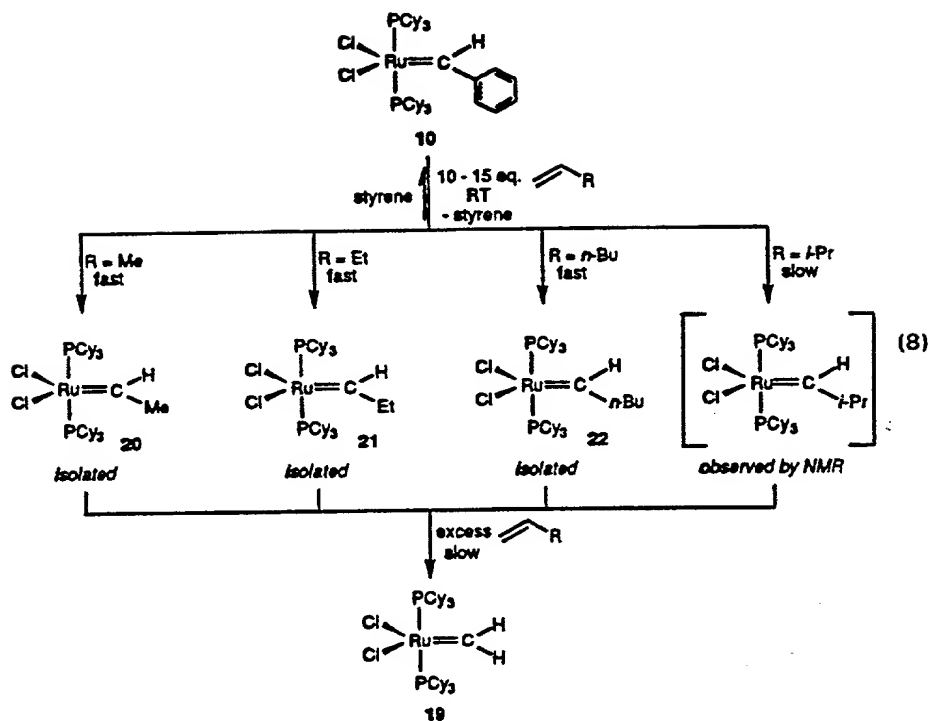
EQUATION 4



20 The isolated compounds 20 - 22 are comparable to precursor complex 10 in stability and solubility and revert to precursor complex 10 in the presence of a large excess (30-50 equiv.) of

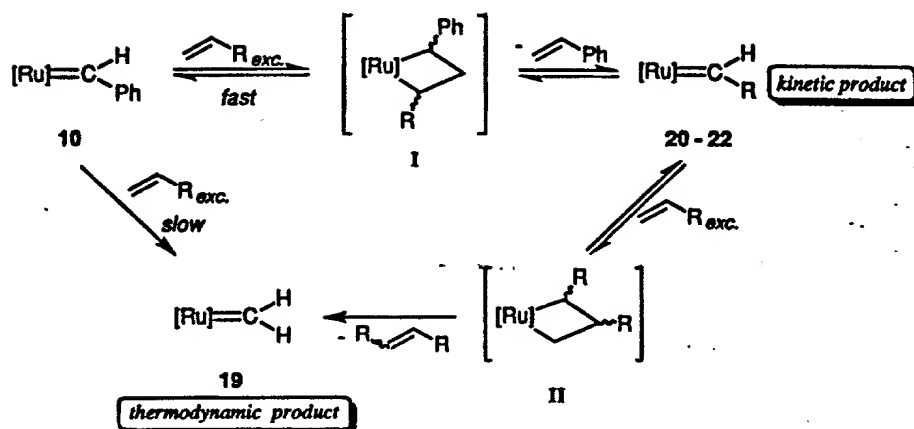
styrene. Metathesis of disubstituted olefins *cis*-2-butene and *cis*-3-hexene leads to the formation of  $\text{RuCl}_2(=\text{CHR})(\text{PCy}_3)_2$  from benzylidene complex 10. However, due to the steric bulk of these olefins, the reactions proceed considerably slower than with the corresponding terminal olefins. No reaction occurred between precursor complex 10 and 3,3-dimethyl-1-butene, and steric interaction between the metal fragment and the incoming olefin is also presumed to be responsible for the slow reaction with 20 equiv. 3-methyl-1-butene. The expected alkylidene  $\text{RuCl}_2(=\text{CH}^i\text{Pr})(\text{PCy}_3)_2$  was identified by NMR, but its concentration remained small and constant throughout the reaction. After 6 hours, initiation was complete and methylidene complex 19 was isolated as the sole reaction product. If alkylidene forms of  $\text{RuCl}_2(=\text{CHR})(\text{PCy}_3)_2$  of complexes 20 - 22 are not isolated immediately after formation, slow reaction with excess olefin results in the formation of  $\text{RuCl}_2(=\text{CH}_2)(\text{PCy}_3)_2$  (complex 19) within 10-15 hours (eq. 8).

## EQUATION 8



As proposed in the reaction scheme I below, complex 10 is likely to react with a terminal olefin to rapidly form a metalocyclobutane intermediate I, in that the two substituents (Ph and R) are in 1,3-position for steric reasons. Productive cleavage of the intermediate metallacycle leads to the formation of alkylidene complexes 20 - 22 as kinetic products.

## REACTION SCHEME I



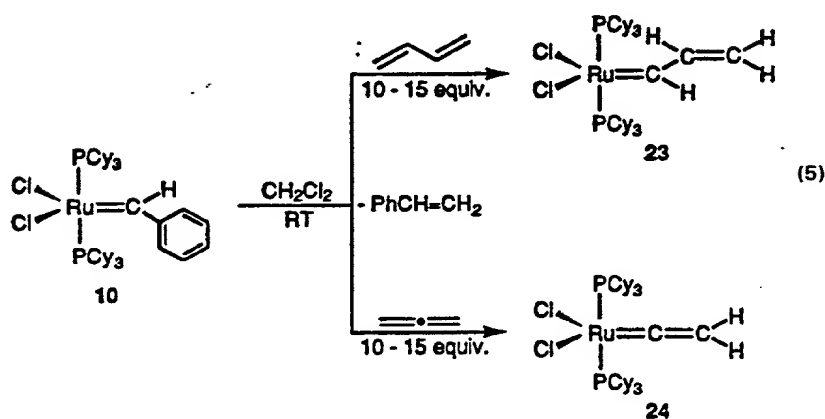
On extended reaction times, alkylidene complexes  $\text{RuCl}_2(=\text{CHR})(\text{PCy}_3)_2$  (complexes 20 - 22) undergo a slow reaction with excess olefin to form methylidene complex 19 presumably through intermediate metallocyclobutane II.  $\text{RuCl}_2(=\text{CH}_2)(\text{PCy}_3)_2$  (complex 19) appears to be the thermodynamic product as it will not metathesize  $\alpha$ -olefins in dilute conditions.

## 10 Metathesis of conjugated and cumulated olefins

Treatment of  $\text{RuCl}_2(=\text{CHPh})(\text{PCy}_3)_2$  (complex 10) with a tenfold excess of 1,3-butadiene and 1,2-propadiene resulted in the high-yield formation of vinylalkylidene  $\text{RuCl}_2(=\text{CH-CH}=\text{CH}_2)(\text{PCy}_3)_2$  (complex 23) and vinylidene  $\text{RuCl}_2(=\text{C}=\text{CH}_2)(\text{PCy}_3)_2$  (complex 24),

respectively (eq. 5). The former complex cannot be synthesized *via* ring-opening of cyclopropene.

EQUATION 5



The spectroscopic data for these complexes is similar to those of related compounds RuCl<sub>2</sub>(=CH-CH=CPh<sub>2</sub>)(PCy<sub>3</sub>)<sub>2</sub> (complex B) and RuCl<sub>2</sub>(=C=CH-*t*-Bu)(PPh<sub>3</sub>)<sub>2</sub>. In contrast to observations made in the synthesis of RuCl<sub>2</sub>(=CHR)(PCy<sub>3</sub>)<sub>2</sub> [R=Me (complex 20), Et (complex 21), *n*-Bu (complex 22)], that no methyldiene RuCl<sub>2</sub>(=CH<sub>2</sub>)(PCy<sub>3</sub>)<sub>2</sub> (complex 19) was formed at extended reaction times can be explained by the low activity of complexes 23 and 24 towards their olefinic precursors. However, both complexes 23 and 24 exhibit ROMP-activity that, in the case of the former, was evidenced by comparatively slow polymerization of cyclooctene (PDI=2.0).



Vinylidene complex 24 rapidly polymerized norbornene, although relatively slow initiation can be inferred by the lack of the characteristic color change, and both compounds are inactive for metathesis of acyclic olefins.

5

#### Introduction of functional groups via metathesis

Although less active than their early transition metal counterparts, ruthenium alkylidenes have broader synthetic utility due to their tolerance of functional groups and protic media. The

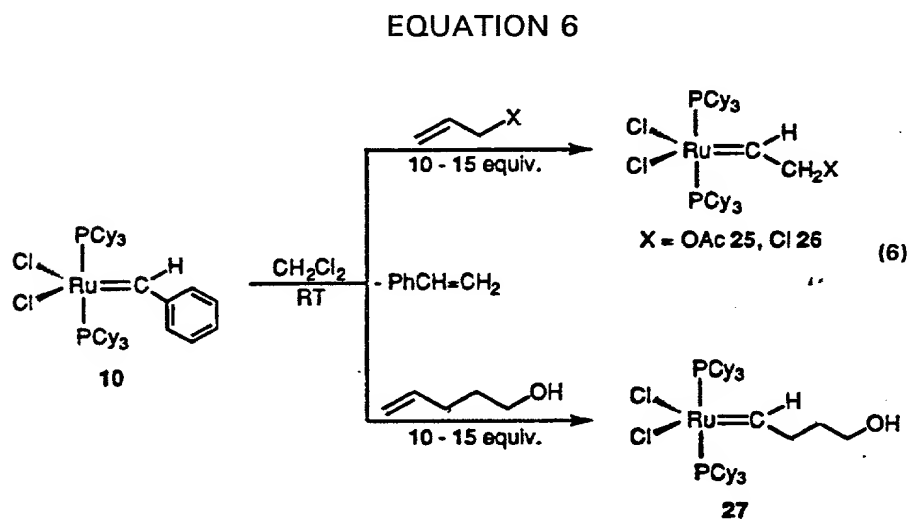
10 inventors have shown that vinylalkylidenes  $\text{RuCl}_2(=\text{CH}-\text{CH}=\text{CPh}_2)(\text{PR}_3)_2$  ( $\text{R}=\text{Ph}$ , complex A; or  $\text{R}=\text{Cy}$ , complex B) react readily with electron-rich olefins, such as vinyl ethers  $\text{H}_2\text{C}=\text{CH}-\text{OR}'$ , to yield metathesis-inactive  $\text{RuCl}_2(=\text{CH}-\text{OR}')(\text{PR}_3)_2$ . This irreversible reaction has been extensively utilized by the inventors for the

15 endcapping of growing polymer chains. Electron-deficient olefins are not metathesized by the triphenylphosphine catalyst  $\text{RuCl}_2(=\text{CH}-\text{CH}=\text{CPh}_2)(\text{PPh}_3)_2$  (complex A), and the tricyclohexylphosphine catalyst  $\text{RuCl}_2(=\text{CH}-\text{CH}=\text{CPh}_2)(\text{PCy}_3)_2$  (complex B) displays only limited activity towards these substrates. However, the enhanced

20 activity of the benzylidene catalyst complex 10 prompted further exploration of this reaction. As shown in eq. 6, metathesis of functionalized olefins catalyzed by benzylidene complex 10 is not

limited to electron-rich olefins, such as allyl acetate, but also includes electron-deficient alkenes, such as allyl chloride.

Benzylidene complex 10 will also undergo efficient metathesis of unprotected en-ols, as shown with 4-pentene-1-ol, to generate the corresponding hydroxy alkylidene  $\text{RuCl}_2(=\text{CH}(\text{CH}_2)_3\text{OH})(\text{PCy}_3)_2$  (complex 27) (eq. 6).



Compounds 25-27 were readily isolated and fully characterized. In all cases the alkylidene  $\text{H}_\alpha$  resonances appeared as triplets due to coupling with the vicinal  $\text{CH}_2$  groups. Alkylidenes 25-27 are active in ROMP of low strained olefins, which makes them attractive catalysts for the synthesis of telechelic and other functionalized polymers.

## USE OF ALKYLIDENE COMPLEXES AS METATHESIS CATALYSTS

Kinetic studies of the polymerization of norbornene catalyzed by

$\text{RuCl}_2(=\text{CH}-p\text{-C}_6\text{H}_4\text{X})(\text{PPh}_3)_2$  (Complexes 3-9)

5           Complexes 3-9 polymerize norbornene at a rate of  $\approx 150$   
equiv./hour in  $\text{CH}_2\text{Cl}_2$  at RT to give polynorbornene in quantitative  
yields. All reactions were accompanied by a characteristic color  
change from green-brown to orange that indicates complete  
initiation. The resulting polymers are approximately 90% trans as  
10           determined by  $^1\text{H}$  NMR. However, the present catalysts produce  
nearly monodispersed polymers ( $\text{PDIs} = 1.04 - 1.10$ , compared to  
1.25 for  $\text{RuCl}_2(=\text{CH}-\text{CH}=\text{CPh}_2)(\text{PPh}_3)_2$  (complex A), consistent with  
measured initiation rates. As observed for  $\text{RuCl}_2(=\text{CH}-$   
 $\text{CH}=\text{CPh}_2)(\text{PPh}_3)_2$  (complex A), complexes 3-9 fulfill the general  
15           criteria for living systems since the propagating alkylidene ( $^1\text{H}$  NMR:  
 $\delta$  17.79 ppm (dt)) is stable throughout the reaction, and the  
molecular weights of the polymers display a linear dependence on  
the  $[\text{catalyst}]/[\text{monomer}]$  ratio.

20           The influence of the para-substituents in the alkylidene moiety  
on the metathesis activity was qualitatively assessed. Catalysts  
based on complexes 3-9 ( $\text{RuCl}_2(=\text{CH}-p\text{-C}_6\text{H}_4\text{X})(\text{PPh}_3)_2$ ,  $[\text{Ru}] =$   
 $0.022 \text{ M}$ ) were treated with norbornene ( $[\text{monomer}] = 0.435 \text{ M}$ ) in

CH<sub>2</sub>Cl<sub>2</sub> solution. The pseudo first-order rate constants for initiation and propagation were obtained by integrating the H<sub>α</sub> resonances of complexes 3-9 against the corresponding resonance of the propagating alkylidene species, and monitoring the decreasing monomer concentration against an internal ferrocene standard, respectively. The derived values of k<sub>i</sub> and k<sub>p</sub> are listed in Table III.

TABLE III

Complex	X	Initiation Rate Constant, k <sub>i</sub> (x10 <sup>-3</sup> /mol•sec)	Propagation Rate Constant, k <sub>p</sub> (x10 <sup>-3</sup> /mol•sec)	k <sub>i</sub> /k <sub>p</sub>
3	H	11.5	1.28	9.0
4	NMe <sub>2</sub>	3.32	1.28	2.6
5	OMe	3.34	1.28	2.6
6	Me	3.69	1.28	2.9
7	F	6.19	1.28	4.8
8	Cl	1.56	1.28	1.2
9	NO <sub>2</sub>	2.91	1.28	2.3

a For  $[Ru] = 0.022 \text{ M}$ ;  $[norbornene] = 0.435 \text{ M}$  in  $C_6D_6$   
at  $17^\circ C$

As can be seen in Table III, the electronic effect of X in  
5  $RuCl_2(=CH-p-C_6H_4X)(PPh_3)_2$  on initiation rate seems to be relatively  
small: the rate in the fastest case ( $X=H$  [complex 3]) was  
approximately 10 times higher than in the slowest ( $X=Cl$  [complex  
8]). A general trend concerning the electronic influence of the  
substituents X was not observed. Under similar reaction conditions  
10 with  $RuCl_2(=CH-CH=CPh_2)(PPh_3)_2$  (complex A) as catalyst,  
observed initiation was  $< 50\%$ . When norbornene consumption was  
complete, uninitiated carbene was spectroscopically identified. The  
extrapolated ratio of  $k_i/k_p = 6 \times 10^{-3}$  is approximately 1000 times  
smaller than that observed for complexes 3-9. These results  
15 suggest that conjugation seems to decrease  $k_i$ , presumably by  
lowering the ground state energy of the starting arylidenes for  
complexes 3-9 relative to the likely metallocyclobutane intermediate.  
Although benzylidene forms of complexes 3-9 are better initiators  
than  $RuCl_2(=CH-CH=CPh_2)(PPh_3)_2$  (Complex A), application of the  
20 former as metathesis catalysts is similarly limited to ROMP of  
relatively high-strained cyclic olefins, such as norbornene and

cyclobutene derivatives, whose calculated strain energies exceed 10-15 kcal/mol.

**ROMP activity of  $\text{RuCl}_2(\text{=CH-}p\text{-C}_6\text{H}_4\text{X})(\text{PCy}_3)_2$  (complexes 10 - 16)**

5            Benzylienes  $\text{RuCl}_2(\text{=CH-}p\text{-C}_6\text{H}_4\text{X})(\text{PCy}_3)_2$  (complexes 10 - 16) are extremely active ROMP-catalysts compared to their  $\text{PPh}_3$  analogs complexes 3 - 9. Except for norbornene, ROMP of highly strained monomers including functionalized norbornenes, 7-oxanorbornenes, and variously substituted cyclobutenes was proved to be living and

10            lead to polymers with exceptionally narrow molecular weight distributions ( $\text{PDIs} < 1.1$ ). In analogy to  $\text{RuCl}_2(\text{=CH-CH=CPh}_2)(\text{PCy}_3)_2$  (complex B), complexes 10 - 16 can also polymerize low-strain cycloolefins, such as cyclooctene and 1,5-cyclooctadiene. Although the corresponding polymers are not

15            monodispersed ( $\text{PDI} \approx 1.50 - 1.60$ ), these polymerizations proceed more rapidly and with significantly lower polydispersities than with  $\text{RuCl}_2(\text{=CH-CH=CPh}_2)(\text{PCy}_3)_2$  (complex B) as catalyst ( $\text{PDI} \approx 2.50$ ). However, the occurrence of "back-biting" in these reactions causes broader PDIs. Therefore, these polymerizations cannot be

20            considered living, even though a propagating alkylidene was observed for ROMP of cyclooctadiene by  $^1\text{H}$  NMR ( $\delta$  18.88 (t)) with complex 10.

Complex 10 also reacts with cyclooctatetraene in  $\text{CD}_2\text{Cl}_2$  with complete initiation, but propagation does not occur, and facile back-biting leads to the formation of benzene. The increased activity of complexes 10 - 16 compared to  $\text{RuCl}_2(=\text{CH}-\text{CH}=\text{CPh}_2)(\text{PCy}_3)_2$  (Complex B) is attributed to a faster initiation rate. Recently developed catalyst mixtures containing  $[(\text{cymene})\text{RuCl}_2]_2$ , a bulky tertiary phosphine and trimethylsilyldiazomethane were found to catalyze ROMP of cyclooctenes.

#### 10 Metathesis of Acyclic Olefins

The inventors recently showed that vinylalkylidene  $\text{RuCl}_2(=\text{CH}-\text{CH}=\text{CPh}_2)(\text{PCy}_3)_2$  (Complex B) exhibits metathesis activity towards acyclic olefins, e.g., cis-2-pentene. Although the turnover-numbers were modest compared to the best of the tungsten and molybdenum-based catalysts, vinylalkylidene  $\text{RuCl}_2(=\text{CH}-\text{CH}=\text{CPh}_2)(\text{PCy}_3)_2$  (complex B) was the first example of acyclic metathesis induced by a ruthenium carbene complex. However, slow initiation was a present limitation for its general use as a catalyst. Due to their exceptionally high activity in ROMP, complexes 10 - 16 were found to be efficient acyclic metathesis catalysts, as representatively shown with benzylidene  $\text{RuCl}_2(=\text{CHPh})(\text{PCy}_3)_2$  (complex 10), discussed below.

Kinetic studies with  $\text{RuCl}_2(=\text{CH}-p\text{-C}_6\text{H}_4\text{X})(\text{PCy}_3)_2$  (Complexes 10-16)

The electronic influence of X on the initiation rates of  $\text{RuCl}_2(=\text{CH}-p\text{-C}_6\text{H}_4\text{X})(\text{PCy}_3)_2$  (complexes 10 - 16) was probed by examining their reactions with 1-hexene. Clean and quantitative conversion to the pentylidene  $\text{RuCl}_2(=\text{CH}-n\text{-Bu})(\text{PCy}_3)_2$  complex 22 was observed in all cases. Pseudo first-order rate constants were measured by integration of the  $\text{H}_\alpha$  resonances of benzylidene complexes 10 - 16 versus pentylidene complex 22. Representative plots are shown in Figures 1A and 1B, and initiation rate constants ( $k_i$ ) are listed in Table IV.

TABLE IV

Complex	X	Initiation Rate Constant $k_i [\bullet 10^{-3}] (1/\text{mol} \bullet \text{sec})$
10	H	2.87
11	NMe <sub>2</sub>	0.31
10	OMe	1.01
3	Me	2.15
14	F	1.21



15	Cl	1.37
16	NO <sub>2</sub>	1.77

a For [Ru] = 0.01 M; [1-hexene] = 0.32 M in CD<sub>2</sub>Cl<sub>2</sub> at  
T = 0°C.

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As observed for living-ROMP of norbornene with catalysts  
RuCl<sub>2</sub>(=CH-*p*-C<sub>6</sub>H<sub>4</sub>X)(PPh<sub>3</sub>)<sub>2</sub> (complexes 3 - 9), the range of *k<sub>i</sub>*s  
among the substituted benzylidenes is approximately an order of  
10 magnitude. Although no general trend can be discerned, any  
perturbation to the aromatic  $\pi$ -system (i.e., X  $\neq$  H) decreases the  
initiation rate. RuCl<sub>2</sub>(=CHPh)(PCy<sub>3</sub>)<sub>2</sub> (complex 10) initiated  
approximately 1000 times faster than vinylidene RuCl<sub>2</sub>(=CH-  
CH=CPh<sub>2</sub>)(PCy<sub>3</sub>)<sub>2</sub> (Complex B) which did not completely react to  
15 give pentylidene complex 22 under the above-mentioned conditions.

#### STRUCTURE OF EXEMPLARY COMPLEX

20 X-ray diffraction study of RuCl<sub>2</sub>(=CH-*p*-C<sub>6</sub>H<sub>4</sub>Cl)(PCy<sub>3</sub>)<sub>2</sub> (Complex 15)

Representative of complexes 10 - 16, the structure of the Cl-

substituted benzyldiene  $\text{RuCl}_2(\text{=CH-}p\text{-C}_6\text{H}_4\text{Cl})(\text{PCy}_3)_2$  was further confirmed by a single crystal X-ray diffraction study. An ORTEP drawing of this complex is shown in Figure 2, and selected bond lengths and angles are given in Table V below. The analysis reveals

5 distorted square-pyramidal coordination with a nearly linear  $\text{Cl}(1)\text{-Ru-Cl}(2)$  angle ( $167.61^\circ$ ). The carbene unit is perpendicular to the  $\text{P1-Ru-P2}$  plane, and the aryl ligand is only slightly twisted out of the  $\text{Cl1-Ru-Cl2}$  plane. The  $\text{Ru-C1}$  bond distance is shorter ( $1.838(3) \text{ \AA}$ ) than in related compounds  $\text{RuCl}_2(\text{=CH-CH=CPh}_2)(\text{PCy}_3)_2$  [ $d(\text{Ru-C}) = 1.851(21)$ ] or  $\text{RuCl}(\text{=C(OMe)-CH=CPh}_2)(\text{CO})(\text{P}i\text{-Pr}_3)_2$

10 [ $\text{RuCl}_2(\text{=CH-CH=CPh}_2)(\text{PCy}_3)_2 \text{ F}_4$ ] [ $d(\text{Ru-C}) = 1.874(3)$ , respectively.

TABLE V

Bond Lengths [ $\text{\AA}$ ]	
Ru-C1	1.839(3)
Ru-Cl1	2.401(1)
Ru-Cl2	2.395(1)
Ru-P1	2.397(1)
Ru-P2	2.435(1)

Bond Angles [°]	
Cl1-Ru-P1	87.2(1)
P1-Ru-C1	97.5(1)
P1-Ru-Cl2	91.5(1)
Cl1-Ru-P2	90.8(1)
C1-Ru-P2	101.2(1)
Cl1-Ru-C1	88.7(1)
Cl1-Ru-Cl2	167.6(1)
C1-Ru-Cl2	103.7(1)
P1-Ru-P2	161.1(1)
Cl2-Ru-P2	86.5(1)

## EXPERIMENTAL SECTION

### General Experimental Procedures

All manipulations were performed using standard Schlenk techniques under an atmosphere of argon. Argon was purified by

passage through columns of BASF R3-11 catalyst (Chemalog) and 4Å molecular sieves (Linde). Solid organometallic compounds were transferred and stored in a nitrogen-filled Vacuum Atmospheres drybox or under an atmosphere of argon. NMR spectra were

5 recorded with either a QE-300 Plus (300.1 MHz  $^1\text{H}$ ; 75.5 MHz  $^{13}\text{C}$ ), a JEOL GX-400 (399.7 MHz  $^1\text{H}$ ; 161.9 MHz  $^{31}\text{P}$ ) or a Bruker AM 500 (500.1 MHz  $^1\text{H}$ ; 125.8 MHz  $^{13}\text{C}$ ; 202.5 MHz  $^{31}\text{P}$ ; 470.5 MHz  $^{19}\text{F}$ ) spectrometer.

Methylene chloride and benzene were passed through

10 columns of activated alumina and stored under argon. Benzene- $\text{d}_6$  and methylene chloride- $\text{d}_2$  were degassed by three continuous freeze-pump-thaw cycles.  $\text{RuCl}_2(\text{PPh}_3)_3$ , tricyclohexylphosphine, and the diazoalkanes  $\text{H}_2\text{CN}_2$ ,  $\text{MeCHN}_2$ ,  $\text{EtCHN}_2$ ,  $\text{PhCHN}_2$ , *p*- $\text{C}_6\text{H}_4\text{NMe}_2\text{CHN}_2$ , *p*- $\text{C}_6\text{H}_4\text{OMeCHN}_2$ , *p*- $\text{C}_6\text{H}_4\text{MeCHN}_2$ , *p*- $\text{C}_6\text{H}_4\text{FCHN}_2$ , *p*- $\text{C}_6\text{H}_4\text{ClCHN}_2$  and *p*- $\text{C}_6\text{H}_4\text{NO}_2\text{CHN}_2$  were prepared according to

15 literature procedures. Norbornene was dried over sodium, vacuum transferred and stored under argon. Cyclooctene, 1,5-cyclooctadiene, and 1,3,5,7-cyclooctatetraene were dried over  $\text{CaH}_2$ , distilled and stored under argon. The following chemicals

20 were obtained from commercial sources and used as received: ethylene, propylene, 1-butene, cis-2-butene, 1-hexene, cis-3-hexene, 3-methyl-1-butene, 3,3-dimethyl-1-butene, 1,3-butadiene, 1,2-

propadiene, allyl acetate, allyl chloride, 4-pentene-2-ol, diethyl diallyl malonate, triisopropylphosphine, tricyclo-pentylphosphine, pentane, ether, acetone, and methanol.

5

### Synthesis of $\text{RuCl}_2(=\text{CHMe})(\text{PPh}_3)_2$ and $\text{RuCl}_2(=\text{CHEt})(\text{PPh}_3)_2$

#### (Complexes 1 and 2)

A solution of  $\text{RuCl}_2(\text{PPh}_3)_3$  (417 mg, 0.43 mmol) in  $\text{CH}_2\text{Cl}_2$  (10 mL) was treated at  $-78^\circ\text{C}$  with a  $-50^\circ\text{C}$  0.50 M solution of diazoethane (1.90 mL, 0.93 mmol, 2.2 eq.) in ether. Upon addition of diazoethane, a color change from orange-brown to green-brown and slight bubbling were observed. After the cooling bath was removed, the solution was stirred for 3 min and then evaporated to dryness. The oily residue was washed several times with small quantities of ice-cold ether (3 mL portions) and the remaining olive-green solid  $\text{RuCl}_2(=\text{CHMe})(\text{PPh}_3)_2$  was dried under vacuum for several hours. Yield = 246 mg (78%).  $^1\text{H}$  NMR ( $\text{CD}_2\text{Cl}_2$ ):  $\delta$  18.47 (tq,  $J_{\text{PH}} = 10.2$  Hz,  $^3J_{\text{HH}} = 5.1$  Hz,  $\text{Ru}=\text{CH}$ ), 7.68-7.56 and 7.49-7.36 (both m,  $\text{P}(\text{C}_6\text{H}_5)_3$ ), 2.59 (d,  $^3J_{\text{HH}} = 5.1$  Hz,  $\text{CH}_3$ ).  $^{13}\text{C}$  NMR ( $\text{CD}_2\text{Cl}_2$ ):  $\delta$  320.65 (t,  $J_{\text{PC}} = 9.9$  Hz,  $\text{Ru}=\text{CH}$ ), 134.76 (m, o-C of  $\text{P}(\text{C}_6\text{H}_5)_3$ ), 132.06 (m, ipso-C of  $\text{P}(\text{C}_6\text{H}_5)_3$ ), 130.38 (s, p-C of  $\text{P}(\text{C}_6\text{H}_5)_3$ ), 128.44 (m, m-C of  $\text{P}(\text{C}_6\text{H}_5)_3$ ).  $^{31}\text{P}$  NMR ( $\text{CD}_2\text{Cl}_2$ ):  $\delta$  29.99

(s, PPh<sub>3</sub>). Anal. Calcd. for C<sub>38</sub>H<sub>34</sub>Cl<sub>2</sub>P<sub>2</sub>Ru: C, 62.99; H, 4.73. Found: C, 63.12; H, 4.61.

In an analogous procedure, RuCl<sub>2</sub>(=CHEt)(PPh<sub>3</sub>)<sub>2</sub> was prepared, starting with RuCl<sub>2</sub>(PPh<sub>3</sub>)<sub>3</sub> (502 mg, 0.52 mmol) and a  
 5 0.45 M solution of diazopropane (2.56 mL, 1.15 mmol, 2.2 eq.) in ether. An orange-brown microcrystalline solid was obtained. Yield = 311 mg (81%). <sup>1</sup>H NMR (C<sub>6</sub>D<sub>6</sub>): δ 18.21 (tt, J<sub>PH</sub> = 10.8, <sup>3</sup>J<sub>HH</sub> 6.6 Hz, Ru=CH), 7.91-7.86 and 6.97-6.80 (both m, P(C<sub>6</sub>H<sub>5</sub>)<sub>3</sub>), 3.11 (dq, <sup>3</sup>J<sub>HH</sub> = <sup>3</sup>J<sub>HH'</sub> = 6.6 Hz, CH<sub>2</sub>CH<sub>3</sub>), 0.79 (t, <sup>3</sup>J<sub>HH</sub> = 6.6 Hz,  
 10 CH<sub>2</sub>CH<sub>3</sub>). <sup>13</sup>C NMR (CD<sub>2</sub>Cl<sub>2</sub>): δ 320.88 (t, J<sub>PC</sub> = 10.0 Hz, Ru=CH), 134.36 (m, o-C of P(C<sub>6</sub>H<sub>5</sub>)<sub>3</sub>), 132.27 (m, *ipso*-C of P(C<sub>6</sub>H<sub>5</sub>)<sub>3</sub>), 129.89 (s, *p*-C of P(C<sub>6</sub>H<sub>5</sub>)<sub>3</sub>), 128.14 (m, m-C of P(C<sub>6</sub>H<sub>5</sub>)<sub>3</sub>), 53.20 (s, CH<sub>2</sub>CH<sub>3</sub>), 29.74 (s, CH<sub>2</sub>CH<sub>3</sub>). <sup>31</sup>P NMR (CD<sub>2</sub>Cl<sub>2</sub>): δ 30.02 (s, PPh<sub>3</sub>).  
 Anal. Calcd. for C<sub>39</sub>H<sub>36</sub>Cl<sub>2</sub>P<sub>2</sub>Ru: C, 63.42; H, 4.91. Found: C, 62.85;  
 15 H, 4.81.

#### Synthesis of RuCl<sub>2</sub>(=CHPh)(PPh<sub>3</sub>)<sub>2</sub> (Complex 3)

A solution of RuCl<sub>2</sub>(PPh<sub>3</sub>)<sub>3</sub> (2.37 g, 2.47 mmol) in CH<sub>2</sub>Cl<sub>2</sub> (20 mL) was treated at -78°C with a -50°C solution of  
 20 phenyldiazomethane (584 mg, 4.94 mmol, 2.0 eq.) in CH<sub>2</sub>Cl<sub>2</sub> or pentane (3 mL). A spontaneous color change from orange-brown to brown-green and vigorous bubbling were observed. After the

cooling bath was removed, the solution was stirred for 5 min and the solution was then concentrated to ~3 mL. Upon addition of pentane (20 mL), a green solid was precipitated, separated from the brown mother-liquid via cannula filtration, dissolved in CH<sub>2</sub>Cl<sub>2</sub> (3 mL) and reprecipitated with pentane. This procedure was repeated until the mother-liquid was nearly colorless. The remaining grey-green microcrystalline solid was dried under vacuum for several hours. Yield = 1.67 g (89%). <sup>1</sup>H NMR (C<sub>6</sub>D<sub>6</sub>): δ 19.56 (t, J<sub>PH</sub> = 10.2 Hz, Ru=CH), 7.80-7.64 and 6.99-6.66 (both m, C<sub>6</sub>H<sub>5</sub> and P(C<sub>6</sub>H<sub>5</sub>)<sub>3</sub>). <sup>13</sup>C NMR (CD<sub>2</sub>Cl<sub>2</sub>): δ 310.12 (t, J<sub>PC</sub> = 11.4 Hz, Ru=CH), 155.36 (s, *ipso*-C of C<sub>6</sub>H<sub>5</sub>), 134.91 (m, m-C or o-C of P(C<sub>6</sub>H<sub>5</sub>)<sub>3</sub>), 133.97 (d, J<sub>PC</sub> 19.6 Hz, *ipso*-C of P(C<sub>6</sub>H<sub>5</sub>)<sub>3</sub>), 130.44 (s, *p*-C of P(C<sub>6</sub>H<sub>5</sub>)<sub>3</sub>), 130.03, 128.71 and 127.09 (all s, C<sub>6</sub>H<sub>5</sub>), 128.37 (s(br.), m-C or o-C of P(C<sub>6</sub>H<sub>5</sub>)<sub>3</sub>). <sup>31</sup>P NMR (CD<sub>2</sub>Cl<sub>2</sub>): δ 30.63 (s, PPh<sub>3</sub>). Anal. Calcd. for C<sub>43</sub>H<sub>36</sub>Cl<sub>2</sub>P<sub>2</sub>Ru: C, 65.65; H, 4.61; P, 7.87. Found: C, 65.83; H, 4.59; P, 7.93.

#### Synthesis of RuCl<sub>2</sub>(=CH-*p*-C<sub>6</sub>H<sub>4</sub>NMe<sub>2</sub>)(PPh<sub>3</sub>)<sub>2</sub> (Complex 4)

A solution of RuCl<sub>2</sub>(PPh<sub>3</sub>)<sub>3</sub> (466 mg, 0.49 mmol) in CH<sub>2</sub>Cl<sub>2</sub> (10 mL) was treated at -78°C with a -50°C solution of *p*-C<sub>6</sub>H<sub>4</sub>NMe<sub>2</sub>CHN<sub>2</sub> (160 mg, 0.98 mmol, 2.0 eq.) in CH<sub>2</sub>Cl<sub>2</sub> (3 mL). A spontaneous color change from orange-brown to brown-green and vigorous

bubbling was observed. After the cooling bath was removed, the solution was stirred for 10 min and then the solvent was removed under vacuum. The brown residue was dissolved in minimal amounts of  $\text{CH}_2\text{Cl}_2$  (3 mL), and pentane (20 mL) was added to precipitate a green solid. After cannula filtration, this procedure was repeated until the filtrate was colorless. The remaining olive-green microcrystalline solid was dried under vacuum for several hours. Yield = 317 mg (78%).  $^1\text{H}$  NMR ( $\text{CD}_2\text{Cl}_2$ ):  $\delta$  18.30 (t,  $J_{\text{PH}} = 6.1$  Hz, Ru=CH), 7.64 (d,  $^3J_{\text{HH}} = 8.7$  Hz, o-H of  $\text{C}_6\text{H}_4\text{NMe}_2$ ), 7.52-7.49 (m, o-H of  $\text{P}(\text{C}_6\text{H}_5)_3$ ), 7.42 (t,  $^3J_{\text{HH}} = 7.5$  Hz, *p*-H of  $\text{P}(\text{C}_6\text{H}_5)_3$ ), 7.33 (t,  $^3J_{\text{HH}} = 7.5$  Hz, m-H of  $\text{P}(\text{C}_6\text{H}_5)_3$ ), 6.32 (d,  $^3J_{\text{HH}} = 8.7$  Hz, m-H of  $\text{C}_6\text{H}_4\text{NMe}_2$ ), 2.96 (s,  $\text{N}(\text{CH}_3)_2$ ).  $^{13}\text{C}$  NMR ( $\text{CD}_2\text{Cl}_2$ ):  $\delta$  309.68 (t,  $J_{\text{PC}} = 11.4$  Hz, Ru=CH), 152.72 (s, *ipso*-C of  $\text{C}_6\text{H}_4\text{NMe}_2$ ), 135.01 (m, m-C or o-C of  $\text{P}(\text{C}_6\text{H}_5)_3$ ), 133.57 (s, o-C or m-C of  $\text{C}_6\text{H}_4\text{NMe}_2$ ), 131.86 (s, C of  $\text{P}(\text{C}_6\text{H}_5)_3$ ), 130.20 (s, o-C or m-C of  $\text{C}_6\text{H}_4\text{NMe}_2$ ), 128.27 (m, m-C or o-C of  $\text{P}(\text{C}_6\text{H}_5)_3$ ), 127.54 (s(br.), *p*-C of  $\text{C}_6\text{H}_4\text{NMe}_2$ ), 110.61 (d,  $J_{\text{PC}} = 21.5$  Hz, *ipso*-C of  $\text{P}(\text{C}_6\text{H}_5)_3$ ), 40.30 (s,  $\text{N}(\text{CH}_3)_2$ ).  $^{31}\text{P}$  NMR ( $\text{CD}_2\text{Cl}_2$ ):  $\delta$  34.84 (s,  $\text{PPh}_3$ ). Anal. Calcd. for  $\text{C}_{45}\text{H}_{41}\text{Cl}_2\text{NP}_2\text{Ru}$ : C, 65.14; H, 4.98; N, 1.69. Found: C, 65.28; H, 4.97; N 1.80.

#### Synthesis of $\text{RuCl}_2(=\text{CH-}p\text{-C}_6\text{H}_4\text{OMe})(\text{PPh}_3)_2$ (Complex 5)



A solution of  $\text{RuCl}_2(\text{PPh}_3)_3$  (561 mg, 0.59 mmol) in  $\text{CH}_2\text{Cl}_2$  (12 mL) was treated at  $-78^\circ\text{C}$  with a  $-40^\circ\text{C}$  solution of  $p\text{-C}_6\text{H}_4\text{OMeCHN}_2$  (87 mg, 0.59 mmol, 1.0 eq.) in  $\text{CH}_2\text{Cl}_2$  (3 mL). A spontaneous color change from orange-brown to brown-green and vigorous bubbling was observed. After the cooling bath was removed, the solution was stirred for 5 min and then the solvent was removed under vacuum. The brown-green residue was dissolved in minimal amounts of  $\text{CH}_2\text{Cl}_2$  (2 mL), and pentane (20 mL) was added to precipitate a brown solid. The brown-green solution was separated via cannula filtration and dried under vacuum. The remaining olive-green solid, complex 5, was repeatedly washed with ether (10 mL portions) and dried under vacuum for several hours. Yield w. 400 mg (83%).  $^1\text{H}$  NMR ( $\text{C}_6\text{D}_6$ ):  $\delta$  19.39 (t,  $J_{\text{PH}} = 8.7$  Hz,  $\text{Ru}=\text{CH}$ ), 7.85-7.72 and 7.03-6.80 (both m,  $\text{C}_6\text{H}_4\text{OMe}$  and  $\text{P}(\text{C}_6\text{H}_5)_3$ ), 6.41 (d,  $^3J_{\text{HH}} = 8.7$  Hz, m-H of  $\text{C}_6\text{H}_4\text{OMe}$ ), 3.22 (s,  $\text{OCH}_3$ ).  $^{13}\text{C}$  NMR ( $\text{CD}_2\text{Cl}_2$ ):  $\delta$  309.20 (t,  $J_{\text{PC}} = 10.7$  Hz,  $\text{Ru}=\text{CH}$ ), 147.42 (s, *ipso*-C of  $\text{C}_6\text{H}_4\text{OMe}$ ), 135.56 (*pseudo*-t, m-C or o-C of  $\text{P}(\text{C}_6\text{H}_5)_3$ ), 133.98 (s, o-C or m-C of  $\text{C}_6\text{H}_4\text{OMe}$ ), 131.46 (s, *p*-C of  $\text{P}(\text{C}_6\text{H}_5)_3$ ), 130.43 (s, o-C or m-C of  $\text{C}_6\text{H}_4\text{OMe}$ ), 128.40 (*pseudo*-t, m-C or o-C of  $\text{P}(\text{C}_6\text{H}_5)_3$ ), 126.82 (s, *p*-C of  $\text{C}_6\text{H}_4\text{OMe}$ ), 113.95 (d,  $J_{\text{PC}} = 21.4$  Hz, *ipso*-C of  $\text{P}(\text{C}_6\text{H}_5)_3$ ), 55.77 (s,  $\text{OCH}_3$ ).  $^{31}\text{P}$  NMR ( $\text{CD}_2\text{Cl}_2$ ):  $\delta$  32.50 (s,  $\text{PPh}_3$ ).

Anal. Calcd. for  $C_{44}H_{38}Cl_2OP_2Ru$ : C, 64.71; H, 4.69. Found: C, 65.23; H, 4.78.

**Synthesis of  $RuCl_2(=CH-p-C_6H_4Me)(PPh_3)_2$  (Complex 6)**

5            In a technique analogous to that used in synthesizing complex 5,  $RuCl_2(=CH-p-C_6H_4Me)(PPh_3)_2$  was prepared from  $RuCl_2(PPh_3)_3$  (350 mg, 0.37 mmol) and  $p-C_6H_4MeCHN_2$  (48 mg, 0.37 mmol, 1.0 eq.) A brown microcrystalline solid was obtained. Yield = 258 mg (87%).  $^1H$  NMR ( $C_6D_6$ ):  $\delta$  19.55 (t,  $J_{PH} = 9.6$  Hz,  $Ru=CH$ ), 7.84-  
10        7.63 and 7.02-6.80 (both m,  $C_6H_4Me$  and  $P(C_6H_5)_3$ ), 6.53 (d,  $^3J_{HH} = 7.8$  Hz, m-H of  $C_6H_4Me$ ), 1.68 (s,  $CH_3$ ).  $^{13}C$  NMR ( $CD_2Cl_2$ ):  $\delta$  309.17 (t,  $J_{PC} = 10.9$  Hz,  $Ru=CH$ ), 153.34 (s, *ipso*-C of  $C_6H_4Me$ ), 135.50 (s, o-C or m-C of  $C_6H_4OMe$ ), 134.96 (m, m-C or o-C of  $P(C_6H_5)_3$ , 132.13 (s, *p*-C of  $P(C_6H_5)_3$ ), 130.39 (s, o-C or m-C of  $C_6H_4Me$ ),  
15        128.34 (m, m-C or o-C of  $P(C_6H_5)_3$ ), 126.76 (s, *p*-C of  $C_6H_4Me$ ), 115.23 (d,  $J_{PC} = 21.4$  Hz, *ipso*-C of  $P(C_6H_5)_3$ ), 40.92 (s,  $CH_3$ ).  $^{31}P$  NMR ( $CD_2Cl_2$ ):  $\delta$  31.29 (s,  $PPh_3$ ). Anal. Calcd. for  $C_{44}H_{38}Cl_2P_2Ru$ : C, 66.00; H, 4.78. Found: C, 65.90; H, 4.75.

**Synthesis of  $\text{RuCl}_2(=\text{CH}-p\text{-C}_6\text{H}_4\text{F})(\text{PPh}_3)_2$  (Complex 7)**

In a technique analogous to that used in synthesizing complex 3,  $\text{RuCl}_2(=\text{CH}-p\text{-C}_6\text{H}_4\text{F})(\text{PPh}_3)_2$  was prepared from  $\text{RuCl}_2(\text{PPh}_3)_3$  (960 mg, 1.00 mmol) and  $p\text{-C}_6\text{H}_4\text{FCHN}_2$  (272 mg, 2.00 mmol, 2.0 eq.).

- 5 Complex 7 was synthesized in analogy to complex 3. An olive-green microcrystalline solid was obtained. Yield = 716 mg (89%).  $^1\text{H}$  NMR ( $\text{CD}_2\text{Cl}_2$ ):  $\delta$  19.24 (t,  $J_{\text{PH}} = 9.0$  Hz,  $\text{Ru}=\text{CH}$ ), 7.65-7.62 (m, o-H of  $\text{C}_6\text{H}_4\text{F}$ ), 7.50-7.44 and 7.35-7.32 (both m,  $\text{P}(\text{C}_6\text{H}_5)_3$ ), 6.62 (t,  $^3J_{\text{HH}} = ^3J_{\text{HF}} = 8.9$  Hz, m-H of  $\text{C}_6\text{H}_4\text{F}$ ), 152.21 (s, *ipso*-C of  $\text{C}_6\text{H}_4\text{F}$ ),
- 10 134.95 (m, m-C or o-C of  $\text{P}(\text{C}_6\text{H}_5)_3$ ), 134.04 (d,  $J_{\text{CF}} = 19.5$  Hz, m-C of  $\text{C}_6\text{H}_4\text{F}$ ), 130.56 (s, *p*-C of  $\text{P}(\text{C}_6\text{H}_5)_3$ ), 130.08 (d,  $J_{\text{CF}} = 8.7$  Hz, o-C of  $\text{C}_6\text{H}_4\text{F}$ ), 128.47 (m, m-C or o-C of  $\text{P}(\text{C}_6\text{H}_5)_3$ ), 115.67 (d,  $J_{\text{PC}} = 21.8$  Hz, *ipso*-C of  $\text{P}(\text{C}_6\text{H}_5)_3$ ).  $^{31}\text{P}$  NMR ( $\text{CD}_2\text{Cl}_2$ ):  $\delta$  31.03 (s,  $\text{PPh}_3$ ).
- $^{19}\text{F}$  NMR ( $\text{CD}_2\text{Cl}_2$ ):  $\delta$  45.63 (s,  $\text{C}_6\text{H}_4\text{F}$ ). Anal. Calcd. for
- 15  $\text{C}_{43}\text{H}_{35}\text{Cl}_2\text{FP}_2\text{Ru}$ : C, 64.18; H, 4.38. Found: C, 64.42; H, 4.42.

**Synthesis of  $\text{RuCl}_2(=\text{CH}-p\text{-C}_6\text{H}_4\text{Cl})(\text{PPh}_3)_2$  (Complex 8)**

- In a technique analogous to that used in example 2,  $\text{RuCl}_2(=\text{CH}-p\text{-C}_6\text{H}_4\text{Cl})(\text{PPh}_3)_2$  was prepared from  $\text{RuCl}_2(\text{PPh}_3)_3$  (350 mg, 0.37 mmol) and  $p\text{-C}_6\text{H}_4\text{ClCHN}_2$  (111 mg, 0.73 mmol, 2.0 eq.) A
- 20 green microcrystalline solid was obtained. Yield = 246 mg (82%).  $^1\text{H}$  NMR ( $\text{CD}_2\text{Cl}_2$ ):  $\delta$  19.27 (t,  $J_{\text{PH}} = 9.2$  Hz,  $\text{Ru}=\text{CH}$ ), 7.51-7.44,

7.35-7.32 and 6.67-6.63 (all m, C<sub>6</sub>H<sub>4</sub>Cl and P(C<sub>6</sub>H<sub>5</sub>)<sub>3</sub>), 6.86 (d, <sup>3</sup>J<sub>HH</sub> = 8.8 Hz, m-H of C<sub>6</sub>H<sub>4</sub>Cl). <sup>13</sup>C NMR (CD<sub>2</sub>Cl<sub>2</sub>): δ 307.34 (t, J<sub>PC</sub> = 10.6 Hz, Ru=CH), 153.82 (s, *ipso*-C of C<sub>6</sub>H<sub>4</sub>Cl), 134.91 (m, m-C or o-C of P(C<sub>6</sub>H<sub>5</sub>)<sub>3</sub>), 130.58 (s, *p*-C of P(C<sub>6</sub>H<sub>5</sub>)<sub>3</sub>), 128.87, 128.81 and 127.85 (all s, C<sub>6</sub>H<sub>4</sub>Cl), 128.48 (s(br.), m-C or o-C of P(C<sub>6</sub>H<sub>5</sub>)<sub>3</sub>), 115.90 (d, J<sub>PC</sub> = 21.7 Hz, *ipso*-C of P(C<sub>6</sub>H<sub>5</sub>)<sub>3</sub>). <sup>31</sup>P NMR (CD<sub>2</sub>Cl<sub>2</sub>): δ 30.47 (s, PPh<sub>3</sub>). Anal. Calcd. for C<sub>43</sub>H<sub>35</sub>Cl<sub>3</sub>P<sub>2</sub>Ru: C, 62.90; H, 4.30. Found: C, 62.87; H, 4.40.

#### 10 Synthesis of RuCl<sub>2</sub>(=CH-*p*-C<sub>6</sub>H<sub>4</sub>NO<sub>2</sub>)(PPh<sub>3</sub>)<sub>2</sub> (Complex 9)

In a technique analogous to that used in synthesizing complex 3, RuCl<sub>2</sub>(=CH-*p*-C<sub>6</sub>H<sub>4</sub>NO<sub>2</sub>)(PPh<sub>3</sub>)<sub>2</sub>, complex 9 was prepared from RuCl<sub>2</sub>(PPh<sub>3</sub>)<sub>3</sub> (604 mg, 0.63 mmol) and *p*-C<sub>6</sub>H<sub>4</sub>NO<sub>2</sub>CHN<sub>2</sub> (206 mg, 1.25 mmol, 2.0 eq.) A tan microcrystalline solid was obtained. Yield = 398 mg (76%). <sup>1</sup>H NMR (CD<sub>2</sub>Cl<sub>2</sub>): δ 19.47 (t, J<sub>PH</sub> = 10.8 Hz, Ru=CH), 7.88-7.67, 7.38-7.33 and 7.02-6.71 (all m, C<sub>6</sub>H<sub>4</sub>NO<sub>2</sub> and P(C<sub>6</sub>H<sub>5</sub>)<sub>3</sub>). <sup>13</sup>C NMR (CD<sub>2</sub>Cl<sub>2</sub>): δ 313.43 (t, J<sub>PC</sub> = 11.2 Hz, Ru=CH), 158.40 (s, *ipso*-C of C<sub>6</sub>H<sub>4</sub>NO<sub>2</sub>), 148.11 (s, *p*-C of C<sub>6</sub>H<sub>4</sub>NO<sub>2</sub>), 135.49 (m, m-C or o-C of P(C<sub>6</sub>H<sub>5</sub>)<sub>3</sub>), 132.21 (s, m-C of C<sub>6</sub>H<sub>4</sub>NO<sub>2</sub>), 130.91 (s, *p*-C of P(C<sub>6</sub>H<sub>5</sub>)<sub>3</sub>), 130.72 (s, o-C of C<sub>6</sub>H<sub>4</sub>NO<sub>2</sub>), 128.86 (m, m-C or o-C of P(C<sub>6</sub>H<sub>5</sub>)<sub>3</sub>), 116.03 (d, J<sub>PC</sub> = 21.6 Hz, *ipso*-C of P(C<sub>6</sub>H<sub>5</sub>)<sub>3</sub>). <sup>31</sup>P NMR (CD<sub>2</sub>Cl<sub>2</sub>): δ 32.27 (s, PPh<sub>3</sub>). Anal. Calcd.

for  $C_{43}H_{35}Cl_2NO_2P_2Ru$ : C, 62.10; H, 4.24; N, 1.68. Found: C, 62.31; H, 4.66; N, 1.84.

### Synthesis of $RuCl_2(=CHPh)(PCy_3)_2$ (Complex 10)

5           A solution of  $RuCl_2(=CHPh)(PPh_3)_2$  (242 mg, 0.31 mmol) in  $CH_2Cl_2$  (10 mL) was treated with a solution of tricyclohexylphosphine (190 mg, 0.68 mmol, 2.2 eq.) in  $CH_2Cl_2$  (3 mL) and stirred at RT for 30 min. The solution was filtered, and the solvent was removed under vacuum. The residue was repeatedly

10           washed with acetone or methanol (5 mL portions) and dried in vacuo. A purple microcrystalline solid was obtained. Yield 290 mg (89%).  $^1H$  NMR ( $CD_2Cl_2$ ):  $\delta$  20.02 (s, Ru=CH) (s, Ru=CH), 8.44 (d,  $^3J_{HH} = 7.6$  Hz, o-H of  $C_6H_5$ ), 7.56 (t,  $^3J_{HH} = 7.6$  Hz, p-H of  $C_6H_5$ ), 7.33 (t,  $^3J_{HH} = 7.6$  Hz, m-H of  $C_6H_5$ ), 2.62-2.58, 1.77-1.67, 1.46-

15           1.39 and 1.25-1.16 (all m,  $P(C_6H_{11})_3$ ).  $^{13}C$  NMR ( $CD_2Cl_2$ ):  $\delta$  294.72 (s, Ru=CH), 153.17 (s, ipso-C of  $C_6H_5$ ), 131.21, 129.49 and 129.27 (all s,  $C_6H_5$ ), 32.49 (*pseudo*-t,  $J_{app} = 9.1$  Hz, ipso-C of  $P(C_6H_{11})_3$ ), 30.04 (s, m-C of  $P(C_6H_{11})_3$ ), 28.24 (*pseudo*-t,  $J_{app} = 4.5$  Hz, o-C of  $P(C_6H_{11})_3$ ), 26.96 (s, p-C of  $P(C_6H_{11})_3$ ).  $^{31}P$  NMR ( $CD_2Cl_2$ ):

20            $\delta$  36.61 (s,  $PCy_3$ ). Anal. Calcd. for  $C_{43}H_{72}Cl_2P_2Ru$ : C, 62.76; H, 8.82. Found: C, 62.84; H, 8.71.

**One-pot Synthesis of  $\text{RuCl}_2(=\text{CHPh})(\text{PCy}_3)_2$  (Complex 10)**

A solution of  $\text{RuCl}_2(\text{PPh}_3)_3$  (4.0 g, 4.17 mmol) in  $\text{CH}_2\text{Cl}_2$  (40 mL) was treated at  $-78^\circ\text{C}$  with a  $-50^\circ\text{C}$  solution of phenyldiazomethane (986 mg, 8.35 mmol, 2.0 eq.) in pentane (10 mL). Upon addition of the diazo compound, an instantaneous color change from orange-brown to green-brown and vigorous bubbling was observed. After the reaction mixture was stirred at  $-70^\circ\text{C}$  to  $-60^\circ\text{C}$  for 5-10 min, an ice-cold solution of tricyclohexylphosphine (2.57 g, 9.18 mmol, 2.2 eq.) in  $\text{CH}_2\text{Cl}_2$  was added via syringe.

Accompanied by a color change from brown-green to red, the solution was allowed to warm to RT and stirred for 30 min. The solution was filtered, concentrated to half of the volume and filtrated. Methanol (100 mL) was added to precipitate a purple microcrystalline solid, complex 10, that was filtered off, washed several times with acetone and methanol (10 mL portions), and dried under vacuum for several hours. Yield 3.40 g (99%).

**Synthesis of  $\text{RuCl}_2(=\text{CH-}p\text{-C}_6\text{H}_4\text{NMe}_2)(\text{PCy}_3)_2$  (Complex 11)**

Starting with  $\text{RuCl}_2(=\text{CH-}p\text{-C}_6\text{H}_4\text{NMe}_2)(\text{PPh}_3)_2$  (316 mg, 0.38 mmol) and tricyclohexylphosphine (235 mg, 0.84 mmol, 2.2 eq.)  $\text{RuCl}_2(=\text{CH-}p\text{-C}_6\text{H}_4\text{NMe}_2)(\text{PCy}_3)_2$  was obtained as a green microcrystalline solid in a procedure analogous to that used in

synthesizing complex 10. Yield 284 mg (86%).  $^1\text{H}$  NMR ( $\text{CD}_2\text{Cl}_2$ ):  $\delta$  18.77 (s,  $\text{Ru}=\text{CH}$ ), 8.25-8.14 (s(vbr.), o-H of  $\text{C}_6\text{H}_4\text{NMe}_2$ ), 6.55 (d,  $^3J_{\text{HH}} = 7.2$  Hz, m-H of  $\text{C}_6\text{H}_4\text{NMe}_2$ ), 2.97 (s,  $\text{N}(\text{CH}_3)_2$ ), 2.63-2.61, 1.80-1.67, 1.43-1.41 and 1.21-1.17 (all m,  $\text{P}(\text{C}_6\text{H}_{11})_3$ ).  $^{13}\text{C}$  NMR ( $\text{CD}_2\text{Cl}_2$ ):  $\delta$  286.13 (s(br.);  $\text{Ru}=\text{CH}$ ), 151.28 (s; *ipso*-C of  $\text{C}_6\text{H}_4\text{NMe}_2$ ), 144.80, 134.85 and 110.50 (all s;  $\text{C}_6\text{H}_4\text{NMe}_2$ ), 40.30 (s,  $\text{N}(\text{CH}_3)_2$ ), 32.54 (*pseudo*-t,  $J_{\text{app}} = 8.2$  Hz, *ipso*-C of  $\text{P}(\text{C}_6\text{H}_{11})_3$ ), 30.10 (s, m-C of  $\text{P}(\text{C}_6\text{H}_{11})_3$ ), 28.36 (m, o-C of  $\text{P}(\text{C}_6\text{H}_{11})_3$ ), 27.07 (s, *p*-C of  $\text{P}(\text{C}_6\text{H}_{11})_3$ ).  $^{31}\text{P}$  NMR ( $\text{CD}_2\text{Cl}_2$ ):  $\delta$  34.94 (s,  $\text{PCy}_3$ ). Anal. Calcd. for  $\text{C}_{45}\text{H}_{77}\text{Cl}_2\text{NP}_2\text{Ru}$ : C, 62.41; H, 8.96; N, 1.62. Found: C, 62.87; H, 9.04; N, 1.50.

#### Synthesis of $\text{RuCl}_2(=\text{CH}-p\text{-C}_6\text{H}_4\text{OMe})(\text{PCy}_3)_2$ (Complex 12)

Starting with  $\text{RuCl}_2(=\text{CH}-p\text{-C}_6\text{H}_4\text{OMe})(\text{PPh}_3)_2$  (171 mg, 0.21 mmol) and tricyclohexylphosphine (130 mg, 0.46 mmol, 2.2 eq.),  $\text{RuCl}_2(=\text{CH}-p\text{-C}_6\text{H}_4\text{OMe})(\text{PCy}_3)_2$  was obtained as a dark-purple microcrystalline solid, in a technique analogous to that used in synthesizing complex 10. Yield 152 mg (85%).  $^1\text{H}$  NMR ( $\text{CD}_2\text{Cl}_2$ ):  $\delta$  19.48 (s,  $\text{Ru}=\text{CH}$ ), 8.43 (s(br.), o-H of  $\text{C}_6\text{H}_4\text{OMe}$ ), 6.82 (d,  $^3J_{\text{HH}} = 8.6$  Hz, m-H of  $\text{C}_6\text{H}_4\text{OMe}$ ), 3.82 (s,  $\text{OCH}_3$ ), 2.64-2.59, 1.78-1.68, 1.46-1.39 and 1.26-1.15 (all m,  $\text{P}(\text{C}_6\text{H}_{11})_3$ ).  $^{13}\text{C}$  NMR ( $\text{CD}_2\text{Cl}_2$ ):  $\delta$  290.90 (s(br.),  $\text{Ru}=\text{CH}$ ), 148.34 (s, *ipso*-C of  $\text{C}_6\text{H}_4\text{OMe}$ ), 134.91,

132.30 and 128.83 (all s, C<sub>6</sub>H<sub>4</sub>OMe), 55.81 (s, OCH<sub>3</sub>), 32.51  
 (*pseudo*-t, J<sub>app</sub> = 9.1 Hz, *ipso*-C of P(C<sub>6</sub>H<sub>11</sub>)<sub>3</sub>), 30.06 (s, *m*-C of  
 P(C<sub>6</sub>H<sub>11</sub>)<sub>3</sub>), 28.28 (*pseudo*-t, J<sub>app</sub> = 5.2 Hz, *o*-C of P(C<sub>6</sub>H<sub>11</sub>)<sub>3</sub>), 27.00  
 (s, *p*-C of P(C<sub>6</sub>H<sub>11</sub>)<sub>3</sub>). <sup>31</sup>P NMR (CD<sub>2</sub>Cl<sub>2</sub>): δ 35.83 (s, PCy<sub>3</sub>). Anal.  
 5 Calcd. for C<sub>44</sub>H<sub>74</sub>Cl<sub>2</sub>OP<sub>2</sub>Ru: C, 61.96; H, 8.74. Found: C, 62.36; H,  
 8.71.

### Synthesis of RuCl<sub>2</sub>(=CH-*p*-C<sub>6</sub>H<sub>4</sub>Me)(PCy<sub>3</sub>)<sub>2</sub> (Complex 13)

Starting with RuCl<sub>2</sub>(=CH-*p*-C<sub>6</sub>H<sub>4</sub>Me)(PPh<sub>3</sub>)<sub>2</sub> (416 mg, 0.52  
 10 mmol) and tricyclohexylphosphine (321 mg, 1.14 mmol, 2.2 eq.),  
 RuCl<sub>2</sub>(=CH-*p*-C<sub>6</sub>H<sub>4</sub>Me)(PCy<sub>3</sub>)<sub>2</sub> was obtained as a bright-purple  
 microcrystalline solid, in a technique analogous to that used in  
 synthesizing complex 10. Yield 385 mg (88%). <sup>1</sup>H NMR(CD<sub>2</sub>Cl<sub>2</sub>): δ  
 19.80 (s, Ru=CH), d, <sup>3</sup>J<sub>HH</sub> = 7.6 Hz, *o*-H of C<sub>6</sub>H<sub>4</sub>Me), 7.13 (d,  
 15 <sup>3</sup>J<sub>HH</sub> = 7.6 Hz, *m*-H of C<sub>6</sub>H<sub>4</sub>Me), 2.08 (s, CH<sub>3</sub>), 2.62-2.58, 1.77-1.67,  
 1.43-1.40 and 1.22-1.17 (all m, P(C<sub>6</sub>H<sub>11</sub>)<sub>3</sub>). <sup>13</sup>C NMR (CD<sub>2</sub>Cl<sub>2</sub>): δ  
 293.86 (t, J<sub>PC</sub> = 8.3 Hz, Ru=CH), 141.48 (s, *ipso*-C of C<sub>6</sub>H<sub>4</sub>Me),  
 131.56 and 129.85 (both s, C<sub>6</sub>H<sub>4</sub>Me), 32.52 (*pseudo*-t, J<sub>app</sub> = 9.2  
 Hz, *ipso*-C of P(C<sub>6</sub>H<sub>11</sub>)<sub>3</sub>), 30.07 (s, *m*-C of P(C<sub>6</sub>H<sub>11</sub>)<sub>3</sub>), 28.26 (*pseudo*-  
 20 t, J<sub>app</sub> = 4.1 Hz, *o*-C of P(C<sub>6</sub>H<sub>11</sub>)<sub>3</sub>), 27.00 (s, *p*-C of P(C<sub>6</sub>H<sub>11</sub>)<sub>3</sub>),  
 22.39 (s, CH<sub>3</sub>). <sup>31</sup>P NMR (CD<sub>2</sub>Cl<sub>2</sub>): δ 36.09 (s, PCy<sub>3</sub>). Anal. Calcd.  
 for C<sub>44</sub>H<sub>74</sub>Cl<sub>2</sub>P<sub>2</sub>Ru: C, 63.14; H, 8.91. Found: C, 63.29; H, 8.99.



**Synthesis of  $\text{RuCl}_2(=\text{CH-}p\text{-C}_6\text{H}_4\text{F})(\text{PCy}_3)_2$  (Complex 14)**

Starting with  $\text{RuCl}_2(=\text{CH-}p\text{-C}_6\text{H}_4\text{F})(\text{PPh}_3)_2$  (672 mg, 0.84 mmol) and tricyclohexylphosphine (515 mg, 1.84 mmol, 2.2 eq.),  $\text{RuCl}_2(=\text{CH-}p\text{-C}_6\text{H}_4\text{F})(\text{PCy}_3)_2$  was obtained as a purple

- 5 microcrystalline solid, in a technique analogous to that used in synthesizing complex 10. Yield 583 mg (83%).  $^1\text{H}$  NMR ( $\text{CD}_2\text{Cl}_2$ ):  $\delta$  19.86 (s,  $\text{Ru}=\text{CH}$ ), 8.52-8.50 (s(br.), *o*-H of  $\text{C}_6\text{H}_4\text{F}$ ), 7.00 (dd,  $^3J_{\text{HH}}=^3J_{\text{HF}}=8.8$  Hz, *m*-H of  $\text{C}_6\text{H}_4\text{F}$ ), 2.63-2.59, 1.77-1.68, 1.47-1.40 and 1.26-1.17 (all m,  $\text{P}(\text{C}_6\text{H}_{11})_3$ ).  $^{13}\text{C}$  NMR( $\text{CD}_2\text{Cl}_2$ ):  $\delta$  291.52 (t,  $J_{\text{PC}}=8.6$  HZ,  $\text{Ru}=\text{CH}$ ), 162.10 (d,  $J_{\text{CF}}=254.3$  Hz, *p*-C of  $\text{C}_6\text{H}_4\text{F}$ ), 150.57 (s, *ipso*-C of  $\text{C}_6\text{H}_4\text{F}$ ), 134.10 (d,  $J_{\text{CF}}=8.9$  Hz, *o*-C of  $\text{C}_6\text{H}_4\text{F}$ ), 116.00 (d,  $J_{\text{CF}}=21.3$  Hz, *m*-C of  $\text{C}_6\text{H}_4\text{F}$ ), 32.49 (*pseudo*-t,  $J_{\text{app}}=9.3$  Hz, *ipso*-C of  $\text{P}(\text{C}_6\text{H}_{11})_3$ ), 30.05 (s, *m*-C of  $\text{P}(\text{C}_6\text{H}_{11})_3$ ), 28.22 (*pseudo*-t,  $J_{\text{app}}=5.2$  Hz, *o*-C of  $\text{P}(\text{C}_6\text{H}_{11})_3$ ), 26.94 (s, *p*-C of  $\text{P}(\text{C}_6\text{H}_{11})_3$ ).  $^{31}\text{P}$
- 10 NMR( $\text{CD}_2\text{Cl}_2$ ):  $\delta$  36.60 (s,  $\text{PCy}_3$ ).  $^{19}\text{F}$  NMR( $\text{CD}_2\text{Cl}_2$ ):  $\delta$  45.47 (s,  $\text{C}_6\text{H}_4\text{F}$ ). Anal. Calcd. for  $\text{C}_{43}\text{H}_{71}\text{Cl}_2\text{FP}_2\text{Ru}$ : C, 61.41; H, 8.51. Found: C, 61.32; H, 8.59.
- 15

**Synthesis of  $\text{RuCl}_2(=\text{CH-}p\text{-C}_6\text{H}_4\text{Cl})(\text{PCy}_3)_2$  (Complex 15)**

- 20 Starting with  $\text{RuCl}_2(=\text{CH-}p\text{-C}_6\text{H}_4\text{Cl})(\text{PPh}_3)_2$  (543 mg, 0.66 mmol) and tricyclohexylphosphine (408 mg, 1.45 mmol, 2.2 eq.),  $\text{RuCl}_2(=\text{CH-}p\text{-C}_6\text{H}_4\text{Cl})(\text{PCy}_3)_2$  was obtained as a purple

microcrystalline solid in a technique analogous to that used in synthesizing complex 10. Yield 493 mg (87%).  $^1\text{H}$  NMR( $\text{CD}_2\text{Cl}_2$ ):  $\delta$  19.98 (s, Ru=CH), 8.43 (d,  $^3J_{\text{HH}}=8.7$  Hz, *o*-H of  $\text{C}_6\text{H}_4\text{Cl}$ ), 7.29 (d,  $^3J_{\text{HH}}=8.7$  Hz, *m*-H of  $\text{C}_6\text{H}_4\text{Cl}$ ), 2.63-2.58, 1.76-1.68, 1.46-1.41 and 1.25-1.17 (all m,  $\text{P}(\text{C}_6\text{H}_{11})_3$ ).  $^{13}\text{C}$  NMR( $\text{CD}_2\text{Cl}_2$ ):  $\delta$  291.52 (t,  $J_{\text{PC}}=8.0$  Hz, Ru=CH), 151.81 (s, *ipso*-C of  $\text{C}_6\text{H}_4\text{Cl}$ ), 134.64 (s, *p*-C of  $\text{C}_6\text{H}_4\text{Cl}$ ), 132.56 and 129.51 (both s, *o*-C and *m*-C of  $\text{C}_6\text{H}_4\text{Cl}$ ), 32.51 (*pseudo*-t,  $J_{\text{app}}=8.9$  Hz, *ipso*-C of  $\text{P}(\text{C}_6\text{H}_{11})_3$ ), 30.06 (s, *m*-C of  $\text{P}(\text{C}_6\text{H}_{11})_3$ ), 28.22 (*pseudo*-t,  $J_{\text{app}}=5.2$  Hz, *o*-C of  $\text{P}(\text{C}_6\text{H}_{11})_3$ ), 26.96 (s, *p*-C of  $\text{P}(\text{C}_6\text{H}_{11})_3$ ).  $^{31}\text{P}$  NMR( $\text{CD}_2\text{Cl}_2$ ):  $\delta$  36.81 (s,  $\text{PCy}_3$ ). Anal. Calcd. for  $\text{C}_{43}\text{H}_{71}\text{Cl}_2\text{FP}_2\text{Ru}$ : C, 60.24; H, 8.35. Found: C, 60.22; H, 8.45.

#### Synthesis of $\text{RuCl}_2(=\text{CH-}p\text{-C}_6\text{H}_4\text{NO}_2)(\text{PCy}_3)_2$ (Complex 16)

Starting with  $\text{RuCl}_2(=\text{CH-}p\text{-C}_6\text{H}_4\text{NO}_2)(\text{PPh}_3)_2$  (609 mg, 0.73 mmol) and tricyclohexylphosphine (452 mg, 1.61 mmol, 2.2 eq.),  $\text{RuCl}_2(=\text{CH-}p\text{-C}_6\text{H}_4\text{NO}_2)(\text{PCy}_3)_2$  was obtained, in a procedure analogous to that in example 11, as a red-purple microcrystalline solid. Yield 527 mg (83%).  $^1\text{H}$  NMR( $\text{CD}_2\text{Cl}_2$ ):  $\delta$  20.71 (s, Ru=CH), 8.64 (d,  $^3J_{\text{HH}}=8.4$  Hz, *o*-H of  $\text{C}_6\text{H}_4\text{NO}_2$ ), 8.13 (d,  $^3J_{\text{HH}}=8.4$  Hz, *m*-H of  $\text{C}_6\text{H}_4\text{NO}_2$ ), 2.63-2.58, 1.73-1.68, 1.47-1.40 and 1.26-1.17 (all m,  $\text{P}(\text{C}_6\text{H}_{11})_3$ ).  $^{13}\text{C}$  NMR ( $\text{CD}_2\text{Cl}_2$ )  $\delta$  289.07 (t,  $J_{\text{PC}}=7.6$  Hz, Ru=CH), 155.93 (s, *ipso*-C of  $\text{C}_6\text{H}_4\text{NO}_2$ ), 145.34 (s, *p*-C of  $\text{C}_6\text{H}_4\text{NO}_2$ ), 131.22

and 125.06 (both s, *o*-C and *m*-C of C<sub>6</sub>H<sub>4</sub>NO<sub>2</sub>), 32.57 (*pseudo*-t, *J*<sub>app</sub> = 9.2 Hz, *ipso*-C of P(C<sub>6</sub>H<sub>11</sub>)<sub>3</sub>), 30.05 (s, *m*-C of P(C<sub>6</sub>H<sub>11</sub>)<sub>3</sub>), 28.16 (*pseudo*-t, *J*<sub>app</sub> = 4.1 Hz, *o*-C of P(C<sub>6</sub>H<sub>11</sub>)<sub>3</sub>). <sup>31</sup>P NMR(CD<sub>2</sub>Cl<sub>2</sub>): δ 38.11 (s, PC<sub>y3</sub>). Anal. Calcd. for C<sub>43</sub>H<sub>71</sub>Cl<sub>2</sub>NO<sub>2</sub>P<sub>2</sub>Ru: C, 59.50; H, 8.25; N, 1.61. Found: C, 59.18; H, 8.25; N, 1.49.

#### One-pot Synthesis of RuCl<sub>2</sub>(=CHPh)(PCp<sub>3</sub>)<sub>2</sub> (complex 17)

Complex 17 is obtained in analogy to complex 10 as a purple microcrystalline solid, using RuCl<sub>2</sub>(PPh<sub>3</sub>)<sub>3</sub> (4.00 g, 4.17 mmol), phenyldiazomethane (986 mg, 8.35 mmol, 2.0 eq.), and tricyclopentyl-phosphine (2.19 g, 9.18 mmol, 2.2. eq.). Due to the better solubility of 17, only methanol is used for the washings. Yield 2.83 g (92%). <sup>1</sup>H NMR (CD<sub>2</sub>Cl<sub>2</sub>): δ 20.20 (s, Ru=CH), 8.47 (d, <sup>3</sup>*J*<sub>HH</sub> = 7.5 Hz, *o*-H of C<sub>6</sub>H<sub>5</sub>), 7.63 (t, <sup>3</sup>*J*<sub>HH</sub> = 7.5 Hz, *p*-H of C<sub>6</sub>H<sub>5</sub>), 7.36 (t, <sup>3</sup>*J*<sub>HH</sub> = 7.5 Hz, *m*-H of C<sub>6</sub>H<sub>5</sub>), 2.68-2.62, 1.81-1.77, 1.62-1.52 and 1.49-1.44 (all m, P(C<sub>5</sub>H<sub>9</sub>)<sub>3</sub>). <sup>13</sup>C NMR (CD<sub>2</sub>Cl<sub>2</sub>): δ 300.52 (t, *J*<sub>PC</sub> = 7.6 Hz, Ru=CH), 153.38 (s, *ipso*-C of C<sub>6</sub>H<sub>5</sub>), 130.99, 129.80 and 129.53 (all s, C<sub>6</sub>H<sub>5</sub>) 35.54 (*pseudo*-t, *J*<sub>app</sub> = 11.2 Hz, *ipso*-C of P(C<sub>5</sub>H<sub>9</sub>)<sub>3</sub>) 29.99 and 26.39 (both s, P(C<sub>5</sub>H<sub>9</sub>)<sub>3</sub>). <sup>13</sup>P NMR (CD<sub>2</sub>Cl<sub>2</sub>): δ 29.96 (s, PCp<sub>3</sub>). Anal. Calcd. for C<sub>37</sub>H<sub>60</sub>Cl<sub>2</sub>P<sub>2</sub>Ru: 60.15; H, 8.19. Found: C, 60.39; H, 8.21.

**One-pot Synthesis of  $\text{RuCl}_2(=\text{CHPh})(\text{P}^i\text{Pr}_3)_2$  (complex 18)**

Complex 18 is obtained in analogy to complex 17 as a purple microcrystalline solid, using  $\text{RuCl}_2(\text{PPh}_3)_3$  (4.00 g, 4.17 mmol), phenyldiazomethane (986 mg, 8.35 mmol, 2.0 eq.), and triisopropylphosphine (1.79 mL, 9.18 mmol, 2.2. eq.). Yield 2.26 g (93%).  $^1\text{H}$  NMR ( $\text{CD}_2\text{Cl}_2$ ):  $\delta$  20.10 (s,  $\text{Ru}=\text{CH}$ ), 8.52 (d,  $^3J_{\text{HH}}=7.6$  Hz, *o*-H of  $\text{C}_6\text{H}_5$ ), 7.36 (t,  $^3J_{\text{HH}}=7.6$  Hz, *p*-H of  $\text{C}_6\text{H}_5$ ), 7.17 (t,  $^3J_{\text{HH}}=7.6$  Hz, *m*-H of  $\text{C}_6\text{H}_5$ ), 2.88-2.85, (m,  $\text{PCHCH}_3$ ); 1.19 (dvt,  $N = 13.6$  Hz,  $\text{PCHCH}_3$ ).  $^{13}\text{C}$  NMR ( $\text{CD}_2\text{Cl}_2$ ):  $\delta$  296.84 (s(br.),  $\text{Ru}=\text{CH}$ ), 152.81 (s, *ipso*-C of  $\text{C}_6\text{H}_5$ ), 131.37, 129.54 and 129.20 (all s,  $\text{C}_6\text{H}_5$ ) 22.99 (vt,  $N=^2J_{\text{PC}} + ^4J_{\text{PC}} = 18.9$  Hz,  $\text{PCHCH}_3$ ), 19.71 (s,  $\text{PCHCH}_3$ ).  $^{31}\text{P}$  NMR ( $\text{CD}_2\text{Cl}_2$ ):  $\delta$  45.63 (s,  $\text{P}^i\text{Pr}_3$ ). Anal. Calcd. for  $\text{C}_{25}\text{H}_{48}\text{Cl}_2\text{P}_2\text{Ru}$ : C, 51.54; H, 8.31. Found: C, 51.69; H, 8.19.

**Synthesis of  $\text{RuCl}_2(=\text{CH}_2)(\text{PCy}_3)_2$  (Complex 19)**

A solution of  $\text{RuCl}_2(=\text{CHPh})(\text{PCy}_3)_2$  (821 mg, 1.00 mmol) in  $\text{CH}_2\text{Cl}_2$  (15 mL) was stirred under an atmosphere of ethylene for 15 min at RT. The solvent was removed under vacuum, the residue repeatedly washed with acetone or pentane (5 mL) and dried under vacuum for several hours. A burgundy microcrystalline solid was obtained. Yield 745 mg (quant.).  $^1\text{H}$  NMR ( $\text{CD}_2\text{Cl}_2$ ):  $\delta$  18.94 (s,  $\text{Ru}=\text{CH}_2$ ), 2.50-2.44, 1.81-1.70, 1.49-1.43 and 1.25-1.23 (all m,

$P(C_6H_{11})_3$ .  $^{13}C$  NMR ( $CD_2Cl_2$ ):  $\delta$  294.71 (t,  $J_{PC} = 7.6$  Hz,  $J_{CH} =$   
 164.0 Hz (gated decoupled),  $Ru=CH$ ), 31.05 (*pseudo*-t,  $J_{app} = 9.6$   
 Hz, *ipso*-C of  $P(C_6H_{11})_3$ ), 29.58 (s, *m*-C of  $P(C_6H_{11})_3$ ), 28.20 (*pseudo*-  
 t,  $J_{app} = 5.3$  Hz, *o*-C of  $P(C_6H_{11})_3$ ), 26.94 (s, *p*-C of  $P(C_6H_{11})_3$ ).  $^{31}P$   
 5 NMR ( $CD_2Cl_2$ ):  $\delta$  43.74 (s,  $PCy_3$ ). Anal. Calcd. for  $C_{37}H_{68}Cl_2P_2Ru$ : C,  
 59.50; H, 9.18. Found: C, 59.42; H, 9.29.

#### Synthesis of $RuCl_2(=CHMe)(PCy_3)_2$ (Complex 20)

In a procedure analogous to that used in synthesizing complex  
 10 19,  $RuCl_2(=CHMe)(PCy_3)_2$  was obtained as a red-purple  
 microcrystalline solid, using  $RuCl_2(=CHPh)(PCy_3)_2$  (763 mg, 0.93  
 mmol) and propylene (or 2-butene) as starting materials. Yield 691  
 mg (98%).  $^1H$  NMR ( $CD_2Cl_2$ ):  $\delta$  19.26 (q,  $^3J_{HH} = 5.1$  Hz,  $Ru=CH$ ),  
 2.57 (d,  $^3J_{HH} = 5.1$  Hz,  $CH_3$ ), 2.59-2.53, 1.87-1.79, 1.57-1.50 and  
 15 1.28-1.23 (all m,  $P(C_6H_{11})_3$ ).  $^{13}C$  NMR ( $CD_2Cl_2$ ):  $\delta$  316.32 (t,  $J_{PC} = 7.6$   
 Hz,  $Ru=CH$ ), 49.15 (s,  $CH_3$ ), 32.37 (*pseudo*-t,  $J_{app} = 9.4$  Hz, *ipso*-C  
 of  $P(C_6H_{11})_3$ ), 29.87 (s, *m*-C of  $P(C_6H_{11})_3$ ), 28.22 (*pseudo*-t,  $J_{app} = 5.0$   
 Hz, *o*-C of  $P(C_6H_{11})_3$ ), 26.94 (s, *p*-C of  $P(C_6H_{11})_3$ ).  $^{31}P$  NMR ( $CD_2Cl_2$ ):  
 $\delta$  35.54 (s,  $PCy_3$ ). Anal. Calcd. for  $C_{38}H_{70}Cl_2P_2Ru$ : C, 59.58; H,  
 20 9.27. Found: C, 59.91; H, 9.33.

**Synthesis of  $\text{RuCl}_2(=\text{CHEt})(\text{PCy}_3)_2$  (Complex 21)**

In a procedure analogous to that used in synthesizing complex 19,  $\text{RuCl}_2(=\text{CHEt})(\text{PCy}_3)_2$  was obtained as a red-purple microcrystalline solid, using  $\text{RuCl}_2(=\text{CHPh})(\text{PCy}_3)_2$  and a tenfold excess of 1-butene (or cis-3-hexene) as starting materials. Yield 616 mg (97%).  $^1\text{H}$  NMR ( $\text{CD}_2\text{Cl}_2$ ):  $\delta$  19.12 (t,  $^3J_{\text{HH}} = 5.0$  Hz,  $\text{Ru}=\text{CH}$ ), 2.79 (dq,  $^3J_{\text{HH}} = 5.0$ ,  $^3J_{\text{HH}'} = 7.1$  Hz,  $\text{CH}_2\text{CH}_3$ ), 2.55-2.49, 1.84-1.81, 1.54-1.47 and 1.26-1.23 (all m,  $\text{P}(\text{C}_6\text{H}_{11})_3$ ), 1.35 (t,  $^3J_{\text{HH}'} = 7.1$  Hz,  $\text{CH}_2\text{CH}_3$ ).  $^{13}\text{C}$  NMR ( $\text{CD}_2\text{Cl}_2$ ):  $\delta$  322.59 (t,  $J_{\text{PC}} = 9.3$  Hz,  $\text{Ru}=\text{CH}$ ), 53.48 (s,  $\text{CH}_2\text{CH}_3$ ), 32.20 (*pseudo*-t,  $J_{\text{app}} = 8.9$  Hz, *ipso*-C of  $\text{P}(\text{C}_6\text{H}_{11})_3$ ), 29.85 (s, *m*-C of  $\text{P}(\text{C}_6\text{H}_{11})_3$ ), 29.57 (s,  $\text{CH}_2\text{CH}_3$ ), 28.22 (*pseudo*-t,  $J_{\text{app}} = 4.6$  Hz, *o*-C of  $\text{P}(\text{C}_6\text{H}_{11})_3$ ), 26.88 (s, *p*-C of  $\text{P}(\text{C}_6\text{H}_{11})_3$ ).  $^{31}\text{P}$  NMR ( $\text{CD}_2\text{Cl}_2$ ):  $\delta$  36.39 (s,  $\text{PCy}_3$ ). Anal. Calcd. for  $\text{C}_{39}\text{H}_{72}\text{Cl}_2\text{P}_2\text{Ru}$ : C, 60.45; H, 9.37. Found: C, 60.56; H, 9.30.

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**Synthesis of  $\text{RuCl}_2(=\text{CH-}n\text{-Bu})(\text{PCy}_3)_2$  (Complex 22)**

In a procedure analogous to that used in synthesizing complex 19,  $\text{RuCl}_2(=\text{CH-}n\text{-Bu})(\text{PCy}_3)_2$  was obtained as a red-purple microcrystalline solid, using  $\text{RuCl}_2(=\text{CHPh})(\text{PCy}_3)_2$  (354 mg, 0.43 mmol) and 1-hexene (538  $\mu\text{L}$ , 4.30 mmol, 10 eq.) as starting materials. Yield 328 mg (95%).  $^1\text{H}$  NMR ( $\text{CD}_2\text{Cl}_2$ ):  $\delta$  19.24 (t,  $^3J_{\text{HH}} = 5.1$  Hz,  $\text{Ru}=\text{CH}$ ), 2.74 (dt,  $^3J_{\text{HH}} = 5.1$ ,  $^3J_{\text{HH}'} = 5.2$  Hz,  $(\text{CHCH}_2)$ ).

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153.61 (s, CH=CH<sub>2</sub>), 115.93 (s, CH=CH<sub>2</sub>), 32.32 (*pseudo*-t, J<sub>app</sub>=8.9 Hz, *ipso*-C of P(C<sub>6</sub>H<sub>11</sub>)<sub>3</sub>), 29.82 (s, *m*-C of P(C<sub>6</sub>H<sub>11</sub>)<sub>3</sub>), 28.15 (*pseudo*-t, J<sub>app</sub>=5.1 Hz, *o*-C of P(C<sub>6</sub>H<sub>11</sub>)<sub>3</sub>), 26.91 (s, *p*-C of P(C<sub>6</sub>H<sub>11</sub>)<sub>3</sub>). <sup>31</sup>P NMR (CD<sub>2</sub>Cl<sub>2</sub>): δ 36.17 (s, PCy<sub>3</sub>). Anal. Calcd. for C<sub>39</sub>H<sub>70</sub>Cl<sub>2</sub>P<sub>2</sub>Ru: C, 60.61; H, 9.13. Found: C, 60.79; H, 9.30.

#### Synthesis of RuCl<sub>2</sub>(=C=CH<sub>2</sub>)(PCy<sub>3</sub>)<sub>2</sub> (Complex 24)

In a procedure analogous to that used in synthesizing complex 23, RuCl<sub>2</sub>(=C=CH<sub>2</sub>)(PCy<sub>3</sub>)<sub>2</sub> was obtained as a tan microcrystalline solid, using complex 10 (413 mg, 0.50 mmol) and 1,2-propadiene as starting materials. Yield 373 mg (98%). <sup>1</sup>H NMR (CD<sub>2</sub>Cl<sub>2</sub>): δ 3.63 (s, Ru=C=CH<sub>2</sub>), 2.71-2.64, 2.05-2.01, 1.81-1.53 and 1.32-1.23 (all m, P(C<sub>6</sub>H<sub>11</sub>)<sub>3</sub>). <sup>13</sup>C NMR (CD<sub>2</sub>Cl<sub>2</sub>): δ 327.41 (t, J<sub>PC</sub>=17.2 Hz, Ru=C=CH<sub>2</sub>), 99.34 (s, Ru=C=CH<sub>2</sub>), 33.30 (*pseudo*=t, J<sub>app</sub>=8.9 Hz, *ipso*-C of P(C<sub>6</sub>H<sub>11</sub>)<sub>3</sub>), 30.41 (s, *m*-C of P(C<sub>6</sub>H<sub>11</sub>)<sub>3</sub>), 28.32 (*pseudo*-t, J<sub>app</sub>=5.0 Hz, *o*-C of P(C<sub>6</sub>H<sub>11</sub>)<sub>3</sub>), 27.02 (s, *p*-C of P(C<sub>6</sub>H<sub>11</sub>)<sub>3</sub>). <sup>31</sup>P NMR (CD<sub>2</sub>Cl<sub>2</sub>): δ 35.36 (s, PCy<sub>3</sub>). Anal. Calcd. for C<sub>38</sub>H<sub>68</sub>Cl<sub>2</sub>P<sub>2</sub>Ru: C, 60.14; H, 9.03. Found: C, 60.29; H, 8.91.

#### 20 Synthesis of RuCl<sub>2</sub>(=CHCH<sub>2</sub>OAc)(PCy<sub>3</sub>)<sub>2</sub> (Complex 25)

A solution of complex 10 (423 mg, 0.51 mmol) in CH<sub>2</sub>Cl<sub>2</sub> (10 mL) was treated with allyl acetate (555 μL, 5.10 mmol, 10 eq.) at -



20°C. While the solution warmed to RT within 10 min, a color change from purple to orange-brown was observed. The solvent was removed under vacuum, the residue repeatedly washed with ice-cold methanol (5 mL portions) and dried under vacuum for

5 several hours. A purple microcrystalline solid,  $\text{RuCl}_2(\text{=CHCH}_2\text{OAc})(\text{PCy}_3)_2$ , was obtained. Yield 342 mg (83%).  $^1\text{H}$  NMR ( $\text{CD}_2\text{Cl}_2$ ):  $\delta$  18.90 (t,  $^3J_{\text{HH}} = 4.2$  Hz,  $\text{Ru=CH}$ ), 4.77 (d,  $^3J_{\text{HH}} = 3.6$  Hz,  $\text{CH}_2\text{OAc}$ ), 2.09 (s,  $\text{C(O)CH}_3$ ), 2.53-2.47, 1.81-1.70, 1.59-1.53 and 1.26-1.22, (all m,  $\text{P(C}_6\text{H}_{11})_3$ ).  $^{13}\text{C}$  NMR ( $\text{CD}_2\text{Cl}_2$ ):  $\delta$  305.76  
10 (t,  $J_{\text{PC}} = 7.6$  Hz,  $\text{Ru=C}$ ), 170.41 (s,  $\text{C(O)CH}_3$ ), 83.19 (s,  $\text{CH}_2\text{OAc}$ ), 32.59 (*pseudo*-t,  $J_{\text{app}} = 8.6$  Hz, *ipso*-C of  $\text{P(C}_6\text{H}_{11})_3$ ), 29.94 (s, *m*-C of  $\text{P(C}_6\text{H}_{11})_3$ ), 28.23 (m, *o*-C of  $\text{P(C}_6\text{H}_{11})_3$ ), 26.91 (s, *p*-C of  $\text{P(C}_6\text{H}_{11})_3$ ), 20.91 (s,  $\text{C(O)CH}_3$ ).  $^{31}\text{P}$  NMR ( $\text{CD}_2\text{Cl}_2$ ):  $\delta$  36.66 (s,  $\text{PCy}_3$ ). Anal. Calcd. for  $\text{C}_{39}\text{H}_{72}\text{Cl}_2\text{O}_2\text{P}_2\text{Ru}$ : C, 58.05; H, 8.99. Found:  
15 C, 58.13; H, 9.07.

#### Synthesis of $\text{RuCl}_2(\text{=CHCH}_2\text{Cl})(\text{PCy}_3)_2$ (Complex 26)

In a procedure analogous to that used in synthesizing complex 25  $\text{RuCl}_2(\text{=CHCH}_2\text{Cl})(\text{PCy}_3)_2$  was obtained as a purple  
20 microcrystalline solid using complex 10 (583 mg, 0.71 mmol) and allyl chloride (577  $\mu\text{L}$ , 7.08 mmol, 10 eq.) as starting materials. Yield 552 mg (80%).  $^1\text{H}$  NMR( $\text{CD}_2\text{Cl}_2$ ):  $\delta$  18.74 (t,  $^3J_{\text{HH}} = 4.5$  Hz,

Ru=CH), 4.43(d,  $^3J_{\text{HH}}=4.8$  Hz,  $\text{CH}_2\text{Cl}$ ), 2.55-2.50, 1.81-1.70, 1.59-  
 1.52 and 1.27-1.23 (all m,  $\text{P}(\text{C}_6\text{H}_{11})_3$ ).  $^{13}\text{C}$  NMR( $\text{CD}_2\text{Cl}_2$ ):  $\delta$  303.00  
 (t,  $J_{\text{PC}}=7.8$  Hz, Ru=C), 63.23 (s,  $\text{CH}_2\text{Cl}$ ), 32.05(*pseudo*-t,  $J_{\text{app}}=8.8$   
 Hz, *ipso*-C of  $\text{P}(\text{C}_6\text{H}_{11})_3$ ), 29.50(s, *m*-C of  $\text{P}(\text{C}_6\text{H}_{11})_3$ ), 27.81(*pseudo*-  
 5 t,  $J_{\text{app}}=5.2$  Hz, *o*-C of  $\text{P}(\text{C}_6\text{H}_{11})_3$ ), 26.56(s, *p*-C of  $\text{P}(\text{C}_6\text{H}_{11})_3$ ).  $^{31}\text{P}$   
 NMR( $\text{CD}_2\text{Cl}_2$ ):  $\delta$  37.36 (s,  $\text{PCy}_3$ ). Anal. Calcd. for  $\text{C}_{38}\text{H}_{69}\text{Cl}_3\text{P}_2\text{Ru}$ : C,  
 57.39; H, 8.74. Found: C, 57.55; H, 8.81.

#### Synthesis of $\text{RuCl}_2(=\text{CH}(\text{CH}_2)_3\text{OH})(\text{PCy}_3)_2$ (Complex 27)

10 In a procedure analogous to that used in synthesizing complex  
 25,  $\text{RuCl}_2(=\text{CH}(\text{CH}_2)_3\text{OH})(\text{PCy}_3)_2$  was obtained as a purple  
 microcrystalline solid, using complex 10 (617 mg, 0.82 mmol) and  
 4-pentene-1-ol (823  $\mu\text{L}$ , 8.2 mmol, 10 eq.) as starting materials.  
 Yield 459 mg (76%).  $^1\text{H}$  NMR( $\text{CD}_2\text{Cl}_2$ ):  $\delta$  19.20 (t,  $^3J_{\text{HH}}=4.6$  Hz,  
 15 Ru=CH, 5.46(s(br.), OH), 2.82-2.78, 2.06-2.01 and 1.62-1.58 (all  
 m,  $\text{CH}_2\text{CH}_2\text{CH}_2\text{OH}$ ), 2.55-2.51, 1.84-1.81, 1.55-1.52 and 1.26-1.23  
 (all m,  $\text{P}(\text{C}_6\text{H}_{11})_3$ ).  $^{13}\text{C}$  NMR( $\text{CD}_2\text{Cl}_2$ ):  $\delta$  305.66 5,  $J_{\text{PC}}=7.3$  Hz,  
 Ru=C, 62.66 (s,  $\text{CH}_2\text{OH}$ ), 33.01 and 30.08 (both s,  $\text{CH}_2\text{CH}_2$ )  
 32.32(*pseudo*-t,  $J_{\text{app}}=8.5$  Hz, *ipso*-C of  $\text{P}(\text{C}_6\text{H}_{11})_3$ ), 29.94 (s, *m*-C of  
 20  $\text{P}(\text{C}_6\text{H}_{11})_3$ ), 28.28. (*pseudo*-t,  $J_{\text{app}}=5.3$  Hz, *o*-C of  $\text{P}(\text{C}_6\text{H}_{11})_3$ ), 26.91  
 (s, *p*-C of  $\text{P}(\text{C}_6\text{H}_{11})_3$ ).  $^{31}\text{P}$  NMR( $\text{CD}_2\text{Cl}_2$ ):  $\delta$  37.06 (s,  $\text{PCy}_3$ ). Anal.

Calcd. for  $C_{40}H_{74}Cl_2P_2ORu$ : C, 59.69; H, 9.27. Found: C, 59.51; H, 9.09.

### ROMP of Norbornene with Complexes 3-9 as Catalysts

5 Norbornene (59 mg, 0.63 mmol) was dissolved in  $CH_2Cl_2$  (0.7 mL) and treated with solutions of complexes 3-9 (6.25  $\mu$ mol) in  $CH_2Cl_2$  (0.3 mL) at RT. The reaction mixtures became viscous within 3-5 min and the color changed from brown-green to orange. The solutions were stirred at RT for 1 hour, then exposed to air and  
10 treated with  $CH_2Cl_2$  (2 mL) containing traces of 2,6-di-tert-butyl-4-methylphenol and ethyl vinyl ether. The resulting green solutions were stirred for 20 min and, after filtration through short columns of silica gel, precipitated into vigorously stirred methanol. White, tacky polymers were obtained that were isolated, washed several times  
15 with methanol and dried under vacuum. Yields 95-99%,  $\approx$ 90% trans,  $M_n$  = 31.5-42.3 kg/mol, PDI (toluene): 1.04-1.10.

### Determination of Initiation and Propagation Rates in ROMP of Norbornene with Complexes 3-9

20  $1.25 \times 10^{-5}$  mol of catalysts based on complexes 3 - 9 were weighed into NMR tubes and dissolved in benzene- $d_6$  (0.3 mL). Ferrocene stock solution in benzene- $d_6$  (20  $\mu$ L) was added as an

internal standard. These mixtures were treated with solutions of norbornene (23.5 mg, 0.25 mmol, 20 eq.) in benzene-d<sub>6</sub> (250  $\mu$ L). A <sup>1</sup>H NMR-routine was started immediately, taking 60 spectra within 40 min, then 200 spectra within 5 hour. The initiation rate constants ( $k_i$ ) were determined by integration of H <sub>$\alpha$</sub>  resonances of the initiating and propagating species. The propagation rate constants ( $k_p$ ) were determined by monitoring the decrease of monomer concentration versus the internal standards. The results are given in Table III (above).

#### Reaction of Complex 10 with 3-methyl-1-butene and 3,3-dimethyl-1-butene

In individual NMR-tubes, a solution of complex 10 (5.0 mg, 6.1  $\mu$ mol) in methylene chloride-d<sub>2</sub> (0.5 mL) was treated with 10 equiv. 3-methyl-1-butene and 3,3-dimethyl-1-butene (61.0  $\mu$ mol), respectively. Whereas with the latter reactant, no reaction was observed within 12 hours, a gradual (within 5 min) color change from red-purple to orange indicates that complex 10 undergoes a reaction with 3-methyl-1-butene. Resonances in the <sup>1</sup>H NMR at  $\delta$  18.96 (d, <sup>3</sup>J<sub>HH</sub> = 7.5 Hz, Ru = CH/Pr), 2.27 (m, CHCH<sub>3</sub>) and 1.01 (d, <sup>3</sup>J<sub>HH</sub> = 7.2 Hz, CHCH<sub>3</sub>) may be attributed to the formation of RuCl<sub>2</sub>(=CH-*i*-Pr)(PCy<sub>3</sub>)<sub>2</sub>. However, the intensity of these signals did

not increase in the course of the reaction, and after 10 min, the corresponding resonances of complex 19 became dominant.

#### **ROMP of cyclooctene and 1,5-cyclooctadiene with Complexes 10 -**

##### **5      16 as Catalysts**

Complexes 10 - 16 (6.0  $\mu$ mol) were individually dissolved in  $\text{CH}_2\text{Cl}_2$  (0.5 mL) and treated with neat cyclooctene or 1,5-cyclooctadiene (3.0 mmol, 500 eq.) at RT. Accompanied by a color change from purple to orange, the reaction mixtures turned viscous within 3-5 min. The solutions were stirred at RT for 2.5 hour and, upon exposure to air, treated with  $\text{CH}_2\text{Cl}_2$  (5 mL) containing traces of 2,6-di-*tert*-butyl-4-methylphenol and ethyl vinyl ether. After 20 min, the viscous solutions were filtered through short columns of silica gel and precipitated into vigorously stirred methanol. The resulting polymers were isolated, washed several times with methanol and dried under vacuum. Cycloocteneamer (white tacky polymers): Yields 95-100%,  $M_n$  = 111-211 kg/mol, PDI (toluene): 1.51-1.63; polybutadiene: (white glue-like polymers): Yields 96-99%, 56-68% *cis*,  $M_n$  57.9-63.2 kg/mol, PDI (toluene): 1.56-1.67.

20

**Determination of Initiation Rate Constants In Acyclic Metathesis of  
1-hexene with Complexes 10 - 16 as Catalysts**

6.05  $\mu\text{mol}$  of catalysts based on complexes 10 - 16 were placed into NMR tubes and dissolved in methylene chloride- $\text{d}_2$  (550  $\mu\text{L}$ ). At  $0^\circ\text{C}$ , 1-hexene (22.7  $\mu\text{L}$ , 0.18 mmol, 30 eq.) was added and a  $^1\text{H}$  NMR-routine (at  $0^\circ\text{C}$ ) was started, taking 60 spectra within 40 min. The initiation rate constants were determined by integration of the  $\text{H}_\alpha$  resonances of complexes 10 - 16 and 22. The results are given in Table IV (above).

**X-ray Diffraction Study of  $\text{RuCl}_2(=\text{CH-p-C}_6\text{H}_4\text{Cl})(\text{PCy}_3)_2$  (Complex 15)**

A maroon prism of complex 15 was obtained by slow diffusion of hexanes into a concentrated solution of complex 15 in methylene chloride (0.5 mL) within 24 hours. A crystal of the size 0.2mm x 0.3mm x 0.5 mm was selected, oil-mounted on a glass fiber and transferred to a Siemens P4 diffractometer equipped with a modified LT-1 low temperature system. The determination of Laue symmetry, crystal class, unit cell parameters, and the crystal's orientation matrix were carried out according to standard techniques.

Low temperature (158 K) intensity data were collected via a  $2\theta-\theta$  scan technique with  $\text{MoK}_\alpha$  radiation.

09007498 01598  
365110 8640060

All 7782 data were corrected for absorption and for Lorentz and polarization effects and placed on an approximately absolute scale. Any reflection with  $I(\text{net}) < 0$  was assigned the value  $|F_o| = 0$ . There were no systematic extinctions nor any diffraction symmetry other than the Friedel condition. Refinement of the model proved the centrosymmetric triclinic space group P1 to be the correct choice.

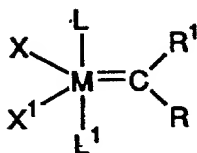
All crystallographic calculations were carried out using either the UCLA Crystallographic Computing Package or the SHELXTL PLUS program. The analytical scattering factors for neutral atoms were used throughout the analysis; both the real ( $\Delta f'$ ) and imaginary ( $i\Delta f''$ ) components of anomalous dispersion were included. The quantity minimized during least-squares analysis was  $\sum w(|F_o| - |F_c|)^2$  where  $w^{-1} = \sigma^2(|F_o|) + 0.0002(|F_o|)^2$ . The structure was solved by direct methods (SHELXTL) and refined by full-matrix least-squares techniques. Hydrogen atoms were located from a difference-Fourier map and included with isotropic temperature parameters. Refinement of the model led to convergence with  $R_F = 3.5\%$ ,  $R_{wF} = 3.6\%$  and  $GOF = 1.42$  for 726 variables refined against those 6411 data with  $|F_o| > 3.0\sigma(|F_o|)$ . A final difference-Fourier map yielded  $\rho_{\text{max}} = 0.52 \text{ e}\text{\AA}^{-3}$ .

# CLAIMS

What is claimed is:

1. A compound of the formula

5



wherein:

10

M is selected from the group consisting of Os and Ru;

R<sup>1</sup> is hydrogen;

R is selected from the group consisting of hydrogen, substituted or unsubstituted alkyl, and substituted or unsubstituted aryl;

15

X and X<sup>1</sup> are independently selected from any anionic ligand; and

L and L<sup>1</sup> are independently selected from any neutral electron donor.

20

2. A compound according to claim 1, wherein the substituted alkyl includes one or more functional groups selected from the group consisting of aryl, alcohol, thiol, ketone, aldehyde,



ester, ether, amine, imine, amide, nitro, carboxylic acid,  
disulfide, carbonate, isocyanate, carbodiimide, carboalkoxy,  
and halogen.

5            3. A compound according to claim 1, wherein the substituted  
aryl includes one or more functional groups selected from the  
group consisting of alkyl, aryl, alcohol, thiol, ketone,  
aldehyde, ester, ether, amine, imine, amide, nitro, carboxylic  
acid, disulfide, carbonate, isocyanate, carbodiimide,  
10           carboalkoxy, and halogen.

4. A compound according to claim 1, wherein R is selected  
from the group consisting of

- 15           (a) hydrogen;
- (b) C<sub>1</sub>-C<sub>20</sub> alkyl;
- (c) aryl;
- (d) C<sub>1</sub>-C<sub>20</sub> alkyl substituted with one or more groups  
              selected from the group consisting of aryl, halide,  
              hydroxy, C<sub>1</sub>-C<sub>20</sub> alkoxy, and C<sub>2</sub>-C<sub>20</sub> alkoxycarbonyl; and
- 20           (e) aryl substituted with one or more groups selected  
              from the group consisting of C<sub>1</sub>-C<sub>20</sub> alkyl, aryl, hydroxyl,  
              C<sub>1</sub>-C<sub>5</sub> alkoxy, amino, nitro, and halide.

5. A compound according to claim 4, wherein R is phenyl or phenyl substituted with a group selected from the group consisting of chloride, bromide, iodide, fluoride, -NO<sub>2</sub>, -NMe<sub>2</sub>, methoxy, and methyl.

5

6. A compound according to claim 5, wherein R is phenyl.

7. A compound according to claim 4, wherein R is selected from the group consisting of hydrogen, methyl, ethyl, n-butyl, iso-propyl, -CH<sub>2</sub>Cl, -CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>OH, and -CH<sub>2</sub>OAc.

10

8. A compound according to claim 1, wherein L and L<sup>1</sup> are independently selected from the group consisting of phosphine, sulfonated phosphine, phosphite, phosphinite, phosphonite, arsine, stibine, ether, amine, amide, sulfoxide, carboxyl, nitrosyl, pyridine, and thioether.

15

9. A compound according to claim 8, wherein L and L<sup>1</sup> are phosphines independently selected from PR<sup>3</sup>R<sup>4</sup>R<sup>5</sup> wherein R<sup>3</sup> is selected from the group consisting of secondary alkyl and cycloalkyl and wherein R<sup>4</sup> and R<sup>5</sup> are independently selected

20

from the group consisting of aryl, C<sub>1</sub>-C<sub>10</sub> primary alkyl, secondary alkyl, and cycloalkyl.

5 10. A compound according to claim 9, wherein L and L<sup>1</sup> are independently selected from the group consisting of - P(cyclohexyl)<sub>3</sub>, -P(cyclopentyl)<sub>3</sub>, and -P(isopropyl)<sub>3</sub>.

10 11. A compound according to claim 8, wherein L and L<sup>1</sup> are both -P(phenyl)<sub>3</sub>.

12. A compound according to claim 8, wherein L and L<sup>1</sup> are the same.

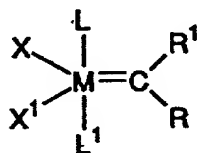
15 13. A compound according to claim 1, wherein X and X<sup>1</sup> are independently selected from the group consisting of halogen, hydrogen; C<sub>1</sub>-C<sub>20</sub> alkyl, aryl, C<sub>1</sub>-C<sub>20</sub> alkoxide, aryloxy, C<sub>3</sub>-C<sub>20</sub> alkyldiketonate, aryldiketonate, C<sub>1</sub>-C<sub>20</sub> carboxylate, aryl or C<sub>1</sub>-C<sub>20</sub> alkylsulfonate, C<sub>1</sub>-C<sub>20</sub> alkylthio, C<sub>1</sub>-C<sub>20</sub> alkylsulfonyl, or C<sub>1</sub>-C<sub>20</sub> alkylsulfinyl; each optionally substituted with C<sub>1</sub>-C<sub>5</sub> alkyl, 20 halogen, C<sub>1</sub>-C<sub>5</sub> alkoxy or with a phenyl group optionally substituted with halogen, C<sub>1</sub>-C<sub>5</sub> alkyl or C<sub>1</sub>-C<sub>5</sub> alkoxy;

14. A compound according to claim 13, wherein X and X<sup>1</sup> are independently selected from Cl, Br, I, H; benzoate, C<sub>1</sub>-C<sub>5</sub> carboxylate, C<sub>1</sub>-C<sub>5</sub> alkyl, phenoxy, C<sub>1</sub>-C<sub>5</sub> alkoxy, C<sub>1</sub>-C<sub>5</sub> alkylthio, aryl, or C<sub>1</sub>-C<sub>5</sub> alkyl sulfonate; each optionally substituted with C<sub>1</sub>-C<sub>5</sub> alkyl or a phenyl group optionally substituted with halogen, C<sub>1</sub>-C<sub>5</sub> alkyl or C<sub>1</sub>-C<sub>5</sub> alkoxy.

15. A compound according to claim 14, wherein X and X<sup>1</sup> are independently selected from the group consisting of Cl, CF<sub>3</sub>CO<sub>2</sub>, CH<sub>3</sub>CO<sub>2</sub>, CFH<sub>2</sub>CO<sub>2</sub>, (CH<sub>3</sub>)<sub>3</sub>CO, (CF<sub>3</sub>)<sub>2</sub>(CH<sub>3</sub>)CO, (CF<sub>3</sub>)(CH<sub>3</sub>)<sub>2</sub>CO, PhO, MeO, EtO, tosylate, mesylate, and trifluoromethanesulfonate.

16. A compound according to claim 15, wherein X and X<sup>1</sup> are both Cl.

17. A compound of the formula



wherein:

M is selected from the group consisting of Os and Ru;

R<sup>1</sup> is hydrogen;

R is a group selected from the group consisting of

(a) hydrogen;

5 (b) C<sub>1</sub>-C<sub>4</sub> alkyl;

(c) phenyl;

(d) C<sub>1</sub>-C<sub>4</sub> alkyl substituted with one or more groups  
selected from the group consisting of halide, hydroxy,  
and C<sub>2</sub>-C<sub>5</sub> alkoxycarbonyl; and

10 (e) phenyl substituted with one or more groups selected  
from the group consisting of C<sub>1</sub>-C<sub>5</sub> alkyl, C<sub>1</sub>-C<sub>5</sub> alkoxy,  
amino, nitro, and halide;

X and X<sup>1</sup> are independently selected from any anionic  
ligand; and

15 L and L<sup>1</sup> are independently phosphines of the formula  
PR<sup>3</sup>R<sup>4</sup>R<sup>5</sup> wherein R<sup>3</sup> is selected from the group consisting of  
secondary alkyl and cycloalkyl and wherein R<sup>4</sup> and R<sup>5</sup> are  
independently selected from aryl, C<sub>1</sub>-C<sub>10</sub> primary alkyl,  
secondary alkyl and cycloalkyl.

20

18. A compound according to claim 17, wherein the  
substituted phenyl is para-substituted.

19. A compound according to claim 18, wherein R is phenyl or phenyl substituted with a group selected from the group consisting of chloride, bromide, iodide, fluoride, -NO<sub>2</sub>, -NMe<sub>2</sub>, methoxy, and methyl.

5

20. A compound according to claim 19, wherein R is phenyl.

21. A compound according to claim 17, wherein R is selected from the group consisting of hydrogen, methyl, ethyl, n-butyl, iso-propyl, -CH<sub>2</sub>Cl, -CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>OH, and -CH<sub>2</sub>OAc.

10

22. A compound according to claim 17, wherein L and L<sup>1</sup> are independantly selected from the group consisting of - P(cyclohexyl)<sub>3</sub>, -P(cyclopentyl)<sub>3</sub>, and -P(isopropyl)<sub>3</sub>.

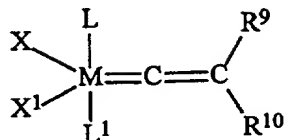
15

23. A compound according to claim 17, wherein X and X<sup>1</sup> are both Cl.

24. A compound according to claim 17, wherein R is phenyl, M is Ru, X and X<sup>1</sup> are both Cl, and L and L<sup>1</sup> are the same and are selected from the group consisting of -P(cyclohexyl)<sub>3</sub>, -P(cyclopentyl)<sub>3</sub>, and -P(isopropyl)<sub>3</sub>.

20

25. A compound of the formula



5 wherein:

M is selected from the group consisting of Os and Ru;

R<sup>9</sup> and R<sup>10</sup> are independently selected from the group consisting of hydrogen, substituted or unsubstituted alkyl, and substituted or unsubstituted aryl;

10 X and X<sup>1</sup> are independently selected from any anionic ligand; and

L and L<sup>1</sup> are independently selected from any neutral electron donor.

15 26. A compound according to claim 25, wherein the substituted alkyl includes one or more functional groups selected from the group consisting of aryl, alcohol, thiol, ketone, aldehyde, ester, ether, amine, imine, amide, nitro, carboxylic acid, disulfide, carbonate, isocyanate, carbodiimide, 20 carboalkoxy, and halogen.

27. A compound according to claim 25, wherein the substituted aryl includes one or more functional groups selected from the group consisting of alkyl, aryl, alcohol, thiol, ketone, aldehyde, ester, ether, amine, imine, amide, nitro, carboxylic acid, disulfide, carbonate, isocyanate, carbodiimide, carboalkoxy, and halogen.

28. A compound according to claim 25, wherein  $R^9$  and  $R^{10}$  are independently selected from the group consisting of

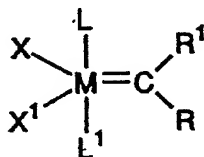
- (a) hydrogen;
- (b)  $C_1$ - $C_{20}$  alkyl;
- (c) aryl;
- (d)  $C_1$ - $C_{20}$  alkyl substituted with a group selected from the group consisting of halide, aryl, alkoxy, and aryloxy;
- and
- (e) aryl substituted with a group selected from the group consisting of halide, alkyl, aryl, alkoxy, and aryloxy.

29. A compound according to claim 25, wherein M is Ru,  $R^9$  and  $R^{10}$  are hydrogen, X and  $X^1$  are Cl, and L and  $L^1$  are the same and are selected from the group consisting of



P(cyclohexyl)<sub>3</sub>, -P(cyclopentyl)<sub>3</sub>, -P(isopropyl)<sub>3</sub>, and -  
P(phenyl)<sub>3</sub>.

30. A process for polymerizing cyclic olefins comprising the  
step of contacting a cyclic olefin with a compound of the  
formula



wherein:

M is selected from the group consisting of Os and Ru;

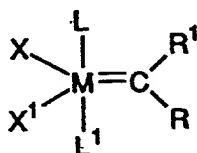
R<sup>1</sup> is hydrogen;

R is selected from the group consisting of hydrogen,  
substituted or unsubstituted alkyl, and substituted or  
unsubstituted aryl;

X and X<sup>1</sup> are independently selected from any anionic  
ligand; and

L and L<sup>1</sup> are independently selected from any neutral  
electron donor.

31. A process for depolymerizing an unsaturated polymer comprising contacting an unsaturated polymer with a compound of the formula



in the presence of an acyclic olefin, wherein:

M is selected from the group consisting of Os and Ru;

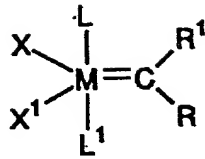
R<sup>1</sup> is hydrogen;

R is selected from the group consisting of hydrogen, substituted or unsubstituted alkyl, and substituted or unsubstituted aryl;

X and X<sup>1</sup> are independently selected from any anionic ligand; and

L and L<sup>1</sup> are independently selected from any neutral electron donor.

32. A process for synthesizing a cyclic olefin comprising the step of contacting a diene with a compound of the formula



wherein:

5 M is selected from the group consisting of Os and Ru;

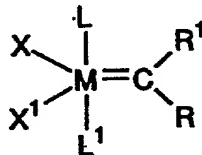
R<sup>1</sup> is hydrogen;

R is selected from the group consisting of hydrogen, substituted or unsubstituted alkyl, and substituted or unsubstituted aryl;

10 X and X' are independently selected from any anionic ligand; and

L and L' are independently selected from any neutral electron donor.

15 33. A process for synthesizing an unsaturated polymer comprising the step of contacting a diene with a compound of the formula



wherein:

M is selected from the group consisting of Os and Ru;

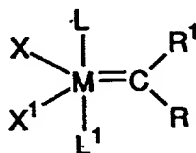
R<sup>1</sup> is hydrogen;

R is selected from the group consisting of hydrogen, substituted or unsubstituted alkyl, and substituted or unsubstituted aryl;

5 X and X<sup>1</sup> are independently selected from any anionic ligand; and

L and L<sup>1</sup> are independently selected from any neutral electron donor.

10 34. A process for synthesizing telechelic polymers by metathesis polymerization comprising contacting a cyclic olefin with a compound of the formula



15

in the presence of an  $\alpha,\omega$ -difunctional olefin, wherein:

M is selected from the group consisting of Os and Ru;

R<sup>1</sup> is hydrogen;

20 R is selected from the group consisting of hydrogen, substituted or unsubstituted alkyl, and substituted or unsubstituted aryl;

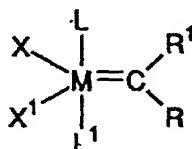
X and X<sup>1</sup> are independently selected from any anionic ligand; and

L and L<sup>1</sup> are independently selected from any neutral electron donor.

5

35. A process for synthesizing olefins by metathesis comprising contacting an acyclic olefin with a compound of the formula

10



wherein:

M is selected from the group consisting of Os and Ru;

R<sup>1</sup> is hydrogen;

15

R is selected from the group consisting of hydrogen, substituted or unsubstituted alkyl, and substituted or unsubstituted aryl;

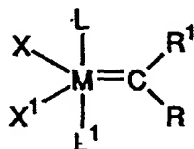
X and X<sup>1</sup> are independently selected from any anionic ligand; and

20

L and L<sup>1</sup> are independently selected from any neutral electron donor.

36. A process for synthesizing olefins by cross metathesis comprising contacting a first acyclic olefin with a compound of the formula

5



in the presence of a second acyclic olefin

wherein:

10

M is selected from the group consisting of Os and Ru;

R<sup>1</sup> is hydrogen;

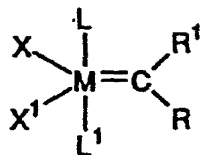
R is selected from the group consisting of hydrogen, substituted or unsubstituted alkyl, and substituted or unsubstituted aryl;

15

X and X<sup>1</sup> are independently selected from any anionic ligand; and

L and L<sup>1</sup> are independently selected from any neutral electron donor.

37. A process for synthesizing a compound of the formula



5 comprising the step of contacting a compound of the formula  $(\text{XX}'\text{ML}_n\text{L}'^m)_p$  with a diazo compound of the formula  $\text{RC}(\text{N}_2)\text{R}^1$ , wherein:

M is selected from the group consisting of Os and Ru;

10 R and  $\text{R}^1$  are independently selected from the group consisting of hydrogen, substituted or unsubstituted alkyl, and substituted or unsubstituted aryl;

X and  $\text{X}'$  are independently selected from any anionic ligand;

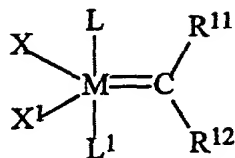
15 L and  $\text{L}'$  are independently selected from any neutral electron donor;

n and m are independently 0-3, provided  $n+m=3$ ; and p is an integer greater than 0.

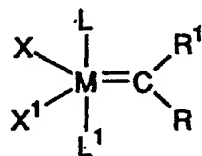
38. A process according to claim 36, wherein  $\text{R}^1$  is hydrogen.

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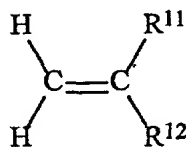
39. A process for synthesizing a compound of the formula



5 comprising the step of contacting a compound of the formula



with an olefin of the formula



wherein:

M is selected from the group consisting of Os and Ru;

R<sup>1</sup> is hydrogen;

R is selected from the group consisting of hydrogen, substituted or unsubstituted alkyl, and substituted or unsubstituted aryl;

R<sup>11</sup> and R<sup>12</sup> are independently selected from the group consisting of hydrogen, substituted or unsubstituted alkyl, and substituted or unsubstituted aryl;

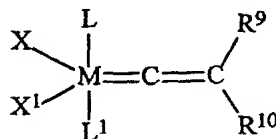


X and X<sup>1</sup> are independently selected from any anionic ligand; and

L and L<sup>1</sup> are independently selected from any neutral electron donor;

5

40. A process for synthesizing a compound of the formula



10

comprising the step of contacting a compound of the formula (XX<sup>1</sup>ML<sub>n</sub>L<sup>1</sup><sub>m</sub>)<sub>p</sub> with an acetylene of the formula R<sup>9</sup>CCR<sup>10</sup>, wherein:

M is selected from the group consisting of Os and Ru;

R<sup>9</sup> and R<sup>10</sup> are independently selected from the group

15

consisting of hydrogen, substituted or unsubstituted alkyl, and substituted or unsubstituted aryl;

X and X<sup>1</sup> are independently selected from any anionic ligand; and

L and L<sup>1</sup> are independently selected from any neutral

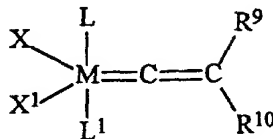
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electron donor;

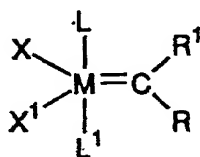
n and m are independently 0-3, provided n+m=3; and

p is an integer greater than 0.

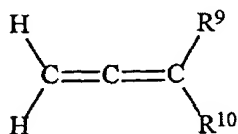
41. A process for synthesizing a compound of the formula



5 comprising the step of contacting a compound of the formula



with a cumulated olefin of the formula



wherein:

M is selected from the group consisting of Os and Ru;

R<sup>1</sup> is hydrogen;

R is selected from the group consisting of hydrogen, substituted or unsubstituted alkyl, and substituted or unsubstituted aryl;

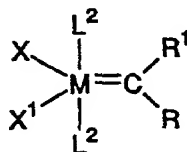
R<sup>9</sup> and R<sup>10</sup> are independently selected from the group consisting of hydrogen, substituted or unsubstituted alkyl, and substituted or unsubstituted aryl;

X and X<sup>1</sup> are independently selected from any anionic ligand; and

L and L<sup>1</sup> are independently selected from any neutral electron donor.

5

42. A process for synthesizing a compound of the formula



10

comprising the step of contacting a compound of the formula (XX<sup>1</sup>ML<sub>n</sub>L<sup>1</sup><sub>m</sub>)<sub>p</sub> with a diazo compound of the formula RC(N<sub>2</sub>)R<sup>1</sup> in the presence of a neutral electron donor of the formula L<sup>2</sup>, wherein:

M is selected from the group consisting of Os and Ru;

15

R and R<sup>1</sup> are independently selected from the group consisting of hydrogen, substituted or unsubstituted alkyl, and substituted or unsubstituted aryl;

X and X<sup>1</sup> are independently selected from any anionic ligand;

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L, L<sup>1</sup>, and L<sup>2</sup> are independently selected from any neutral electron donor;

n and m are independently 0-3, provided n+m=3; and

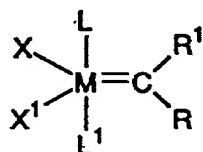
Docket No. CTCH-1620

$p$  is an integer greater than 0.

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## ABSTRACT

Ruthenium and osmium carbene compounds that are stable in the presence of a variety of functional groups and can be used to catalyze olefin metathesis reactions on unstrained cyclic and acyclic olefins are disclosed. Also disclosed are methods of making the carbene compounds. The carbene compounds are of the formula



where M is Os or Ru; R<sup>1</sup> is hydrogen; R is selected from the group consisting of hydrogen, substituted or unsubstituted alkyl, and substituted or unsubstituted aryl; X and X<sup>1</sup> are independently selected from any anionic ligand; and L and L<sup>1</sup> are independently selected from any neutral electron donor. The ruthenium and osmium carbene compounds of the present invention may be synthesized using diazo compounds, by neutral electron donor ligand exchange, by cross metathesis, using acetylene, using cumulated olefins, and in a one-pot method using diazo compounds and neutral electron donors. The ruthenium and osmium carbene compounds of the present invention may be used to catalyze olefin metathesis reactions including, but not limited to, ROMP, RCM, depolymerization of unsaturated polymers, synthesis of telechelic

polymers, and olefin synthesis.

09007498 011598  
865770 86470060

Atty Docket No. CTCH-1620**COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**HIGH METATHESIS ACTIVITY RUTHENIUM AND OSMIUM METAL CARBENE COMPLEXES**the specification of which (check one) XX was filed on July 31, 1996, Appln. No. 08/693,789

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information which is material to patentability as defined in 37 CFR § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)			Priority Claimed	
			Yes	No
Number	Country	Day/Month/Year Filed		
Number	Country	Day/Month/Year Filed		

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) below.

60/001,862                      August 3, 1995  
Application Number              Filing Date

60/003,973                      September 19, 1995  
Application Number              Filing Date

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose all information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

Application Number	Filing Date	Status: Patented, Pending, Abandoned
Application Number	Filing Date	Status: Patented, Pending, Abandoned

09007498 011598

I HEREBY APPOINT THE FOLLOWING AS MY ATTORNEYS WITH FULL POWER OF SUBSTITUTION TO PROSECUTE THIS APPLICATION AND TRANSACT ALL BUSINESS IN THE PATENT OFFICE CONNECTED THEREWITH:

Karl A. Limbach	18,689	Philip A. Girard	28,848	Kathleen A. Frost	37,326
George C. Limbach	19,305	Michael J. Pollock	29,098	David Woycechowsky	39,079
John K. Uilkema	20,282	Stephen M. Everett	30,050	Alan S. Hodes	38,185
J. William Wigert, Jr.	24,582	Alfred A. Equitz	30,922	Patricia Coleman James	37,155
Philip M. Shaw, Jr.	25,376	W. Patrick Bengtsson	32,456	Alan A. Limbach	39,749
Neil A. Smith	25,441	Mark A. Dalla Valle	34,147	Slade E. Smith	37,447
Carrie L. Walthour	27,755	Charles P. Sammut	28,901	J. Thomas McCarthy	22,420
Veronica C. Devitt	29,375	Richard A. Nebb	33,540	Ted Naccarella	33,023
Ronald L. Yin	27,607	Richard E. Wawrzyniak	36,048	Michael R. Ward	38,651
Gerald T. Sekimura	30,103	Alan D. Minsk	35,956	Douglas C. Limbach	35,249
Michael A. Stallman	29,444	Mark C. Pickering	36,239		

Send correspondence to Limbach & Limbach L.L.P.  
Attn: W. Patrick Bengtsson  
2001 Ferry Building  
San Francisco, CA 94111  
Telephone: 415/433-4150

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under 18 U.S.C. § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor ROBERT H. GRUBBS

Inventor's signature \_\_\_\_\_

Date

8-22-96

Residence 1700 Spruce Street, S. Pasadena, CA 91030

Citizenship U.S.A.

Post Office Address 1700 Spruce Street, S. Pasadena, CA 91030

Full name of second joint inventor, if any, PETER SCHWAB

Inventor's signature \_\_\_\_\_

Date

Residence Krahhohlenweg 23, 67098 Bad Durkheim, Germany

Citizenship German

Post Office Address Krahhohlenweg 23, 67098 Bad Durkheim, Germany

Full name of third joint inventor, if any, SONBINH T. NGUYEN

Inventor's signature \_\_\_\_\_

Date

Residence 2044 Pratt Court, Evanston, IL 60201

Citizenship U.S.A.

Post Office Address 2044 Pratt Court, Evanston, IL 60201



Atty Docket No. CTCH-1620

**COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

HIGH METATHESIS ACTIVITY RUTHENIUM AND OSMIUM METAL CARBENE COMPLEXES

the specification of which (check one) XX was filed on July 31, 1996, Appln. No. 08/693,789

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I acknowledge the duty to disclose all information which is material to patentability as defined in 37 CFR § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)			Priority Claimed	
			Yes	No
Number	Country	Day/Month/Year Filed	_____	_____
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Application Number	Filing Date

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Application Number	Filing Date	Status: Patented, Pending, Abandoned
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J. William Wigert, Jr.	24,582	Alfred A. Equitz	30,922	Patricia Coleman James	37,155
Philip M. Shaw, Jr.	25,376	W. Patrick Bengtsson	32,456	Alan A. Limbach	39,749
Neil A. Smith	25,441	Mark A. Dalla Valle	34,147	Slade E. Smith	37,447
Carrie L. Walthour	27,755	Charles P. Sammut	28,901	J. Thomas McCarthy	22,420
Veronica C. Devitt	29,375	Richard A. Nebb	33,540	Ted Naccarella	33,023
Ronald L. Yin	27,607	Richard E. Wawrzyniak	36,048	Michael R. Ward	38,651
Gerald T. Sekimura	30,103	Alan D. Minsk	35,956	Douglas C. Limbach	35,249
Michael A. Stallman	29,444	Mark C. Pickering	36,239		

Send correspondence to Limbach & Limbach L.L.P.  
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2001 Ferry Building  
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under 18 U.S.C. § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor ROBERT H. GRUBBS

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_  
Residence 1700 Spruce Street, S. Pasadena, CA 91030  
Citizenship U.S.A.  
Post Office Address 1700 Spruce Street, S. Pasadena, CA 91030

Full name of second joint inventor, if any, PETER SCHWAB

Inventor's signature Peter Schwab 08/26/96  
Date \_\_\_\_\_  
Residence Krahhohlenweg 23, 67098 Bad Dürkheim, Germany  
Citizenship German  
Post Office Address Krahhohlenweg 23, 67098 Bad Dürkheim, Germany

Full name of third joint inventor, if any, SONBINH T. NGUYEN

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_  
Residence 2044 Pratt Court, Evanston, IL 60201  
Citizenship U.S.A.  
Post Office Address 2044 Pratt Court, Evanston, IL 60201

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Revised: 06/20/96



Figure 1A

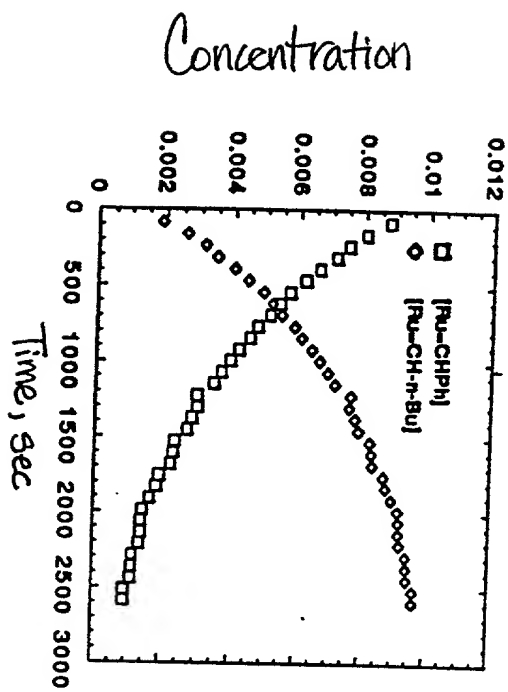
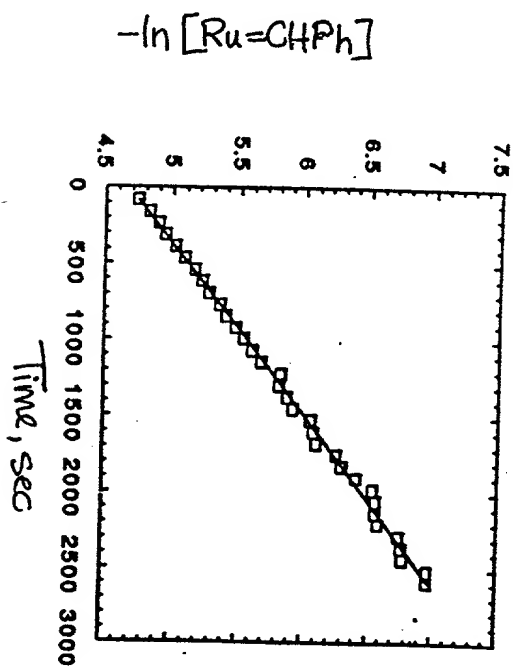


Figure 1B



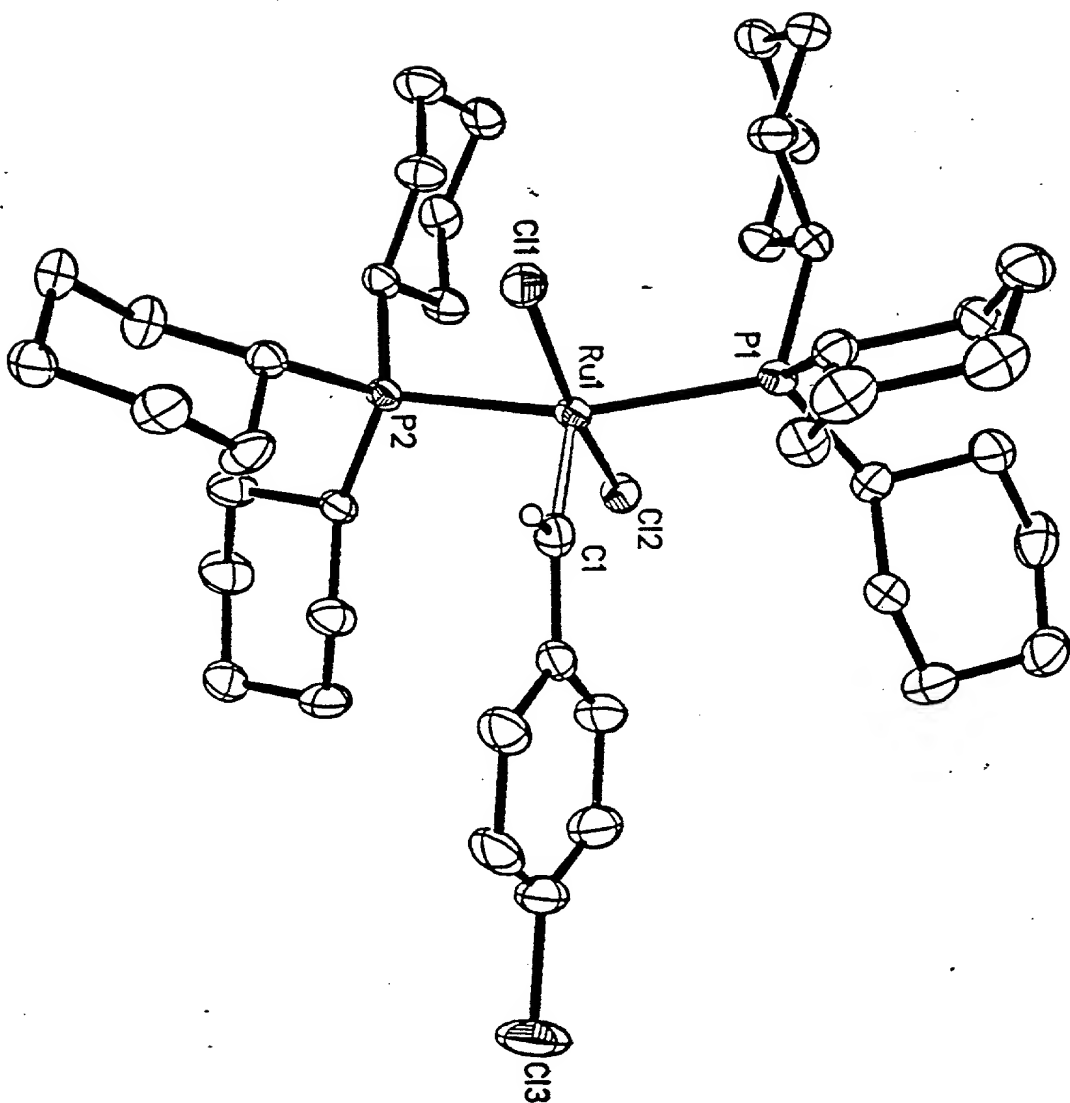


Figure 2

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of	)	Group Art Unit: 1204
	)	
ROBERT H. GRUBBS, <i>et al.</i>	)	Examiner: P. Nazario Gonzalez
	)	
Appln. No. NEW	)	<b>PRELIMINARY AMENDMENT TO</b>
	)	<b>DIVISIONAL APPLICATION</b>
Filed: HEREWITH	)	
	)	2001 Ferry Building
For: HIGH METATHESIS ACTIVITY	)	San Francisco, CA 94111
RUTHENIUM AND OSMIUM METAL	)	415/433-4150
CARBENE COMPLEXES	)	

**EXPRESS MAIL CERTIFICATE**

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service, Express Mail Mailing Label Number: **EM503276238US**, under 37 CFR 1.10 on January 15, 1998 and is addressed to: Box Patent Application, Assistant Commissioner for Patents, Washington, DC 20231.

LIMBACH &amp; LIMBACH L.L.P.

Date: 1/15/98

By: 

Name: Howard Wong

BOX PATENT APPLICATION  
Assistant Commissioner  
for Patents  
Washington, DC 20231

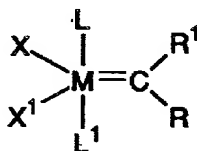
Sir:

Entry of the following preliminary amendment in this continuing patent application is respectfully requested.

**AMENDMENT**In the Claims

Please cancel claims 25-42 without prejudice and amend claims 1-24 as follows:

1. (Amended) A compound of the formula



wherein:

M is selected from the group consisting of Os and Ru;

R<sup>1</sup> is hydrogen;

R is selected from the group consisting of hydrogen, substituted alkyl, [or] unsubstituted alkyl, [and] substituted aryl, and [or] unsubstituted aryl;

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X and X<sup>1</sup> are independently selected from any anionic ligand; and  
L and L<sup>1</sup> are independently selected from any neutral electron donor.

2. (Amended) The [A] compound according to claim 1, wherein the substituted alkyl includes one or more moieties [functional groups] selected from the group consisting of aryl, alcohol, thiol, ketone, aldehyde, ester, ether, amine, imine, amide, nitro, carboxylic acid, disulfide, carbonate, isocyanate, carbodiimide, carboalkoxy, and halogen.
3. (Amended) The [A] compound according to claim 1, wherein the substituted aryl includes one or more moieties [functional groups] selected from the group consisting of alkyl, aryl, alcohol, thiol, ketone, aldehyde, ester, ether, amine, imine, amide, nitro, carboxylic acid, disulfide, carbonate, isocyanate, carbodiimide, carboalkoxy, and halogen.
4. (Amended) The [A] compound according to claim 1, wherein R is selected from the group consisting of
- (a) hydrogen;
  - (b) C<sub>1</sub>-C<sub>20</sub> alkyl;
  - (c) aryl;
  - (d) C<sub>1</sub>-C<sub>20</sub> alkyl substituted with one or more moieties [groups] selected from the group consisting of aryl, halide, hydroxy, C<sub>1</sub>-C<sub>20</sub> alkoxy, and C<sub>2</sub>-C<sub>20</sub> alkoxycarbonyl; and
  - (e) aryl substituted with one or more moieties [groups] selected from the group consisting of C<sub>1</sub>-C<sub>20</sub> alkyl, aryl, hydroxyl, C<sub>1</sub>-C<sub>5</sub> alkoxy, amino, nitro, and halide.
5. (Amended) The [A] compound according to claim 4, wherein R is phenyl or phenyl substituted with a moiety [group] selected from the group consisting of chloride, bromide, iodide, fluoride, -NO<sub>2</sub>, -NMe<sub>2</sub>, methoxy, and methyl.
6. (Amended) The [A] compound according to claim 5, wherein R is phenyl.
7. (Amended) The [A] compound according to claim 4, wherein R is selected from the group consisting of hydrogen, methyl, ethyl, n-butyl, iso-propyl, -CH<sub>2</sub>Cl, -CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>OH, and -CH<sub>2</sub>OAc.



8. (Amended) The [A] compound according to claim 1, wherein L and L<sup>1</sup> are independently selected from the group consisting of phosphine, sulfonated phosphine, phosphite, phosphinite, phosphonite, arsine, stibine, ether, amine, amide, sulfoxide, carboxyl, nitrosyl, pyridine, and thioether.

9. (Amended) The [A] compound according to claim 8, wherein L and L<sup>1</sup> are phosphines independently selected from PR<sup>3</sup>R<sup>4</sup>R<sup>5</sup> wherein R<sup>3</sup> is selected from the group consisting of secondary alkyl and cycloalkyl and wherein R<sup>4</sup> and R<sup>5</sup> are independently selected from the group consisting of aryl, C<sub>1</sub>-C<sub>10</sub> primary alkyl, secondary alkyl, and cycloalkyl.

10. (Amended) The [A] compound according to claim 9, wherein L and L<sup>1</sup> are independently selected from the group consisting of -P(cyclohexyl)<sub>3</sub>, -P(cyclopentyl)<sub>3</sub>, and -P(isopropyl)<sub>3</sub>.

11. (Amended) The [A] compound according to claim 8, wherein L and L<sup>1</sup> are both -P(phenyl)<sub>3</sub>.

12. (Amended) The [A] compound according to claim 8, wherein L and L<sup>1</sup> are the same.

13. (Amended) The [A] compound according to claim 1, wherein X and X<sup>1</sup> are independently selected from the group consisting of halogen, hydrogen[;], unsubstituted moiety, and a substituted moiety wherein the moiety is selected from a group consisting of C<sub>1</sub>-C<sub>20</sub> alkyl, aryl, C<sub>1</sub>-C<sub>20</sub> alkoxide, aryloxide, C<sub>3</sub>-C<sub>20</sub> alkyldiketonate, aryldiketonate, C<sub>1</sub>-C<sub>20</sub> carboxylate, arylsulfonate, [or] C<sub>1</sub>-C<sub>20</sub> alkylsulfonate, C<sub>1</sub>-C<sub>20</sub> alkylthio, C<sub>1</sub>-C<sub>20</sub> alkylsulfonyl, and [or] C<sub>1</sub>-C<sub>20</sub> alkylsulfinyl, wherein the moiety substitution is selected from a group consisting of[; each optionally substituted with] C<sub>1</sub>-C<sub>5</sub> alkyl, halogen, C<sub>1</sub>-C<sub>5</sub> alkoxy, unmodified phenyl, halogen substituted phenyl, C<sub>1</sub>-C<sub>5</sub> alkyl substituted phenyl, and a C<sub>1</sub>-C<sub>5</sub> alkoxy substituted phenyl. [or with a phenyl group optionally substituted with halogen, C<sub>1</sub>-C<sub>5</sub> alkyl or C<sub>1</sub>-C<sub>5</sub> alkoxy;]

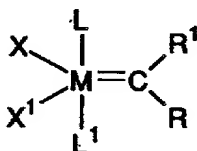
14. (Amended) The [A] compound according to claim 1 [13], wherein X and X<sup>1</sup> are independently selected from Cl, Br, I, H, unsubstituted moiety, and substituted moiety wherein the moiety is selected from a group consisting of[;] benzoate, C<sub>1</sub>-C<sub>5</sub> carboxylate, C<sub>1</sub>-C<sub>5</sub> alkyl, phenoxy, C<sub>1</sub>-C<sub>5</sub> alkoxy, C<sub>1</sub>-C<sub>5</sub> alkylthio, arylsulfonate, and [or] C<sub>1</sub>-C<sub>5</sub> alkyl sulfonate wherein the moiety substitution is selected from a group consisting of C<sub>1</sub>-C<sub>5</sub> alkyl, unmodified phenyl,

halogen substituted phenyl, C<sub>1</sub>-C<sub>5</sub> alkyl substituted phenyl, and C<sub>1</sub>-C<sub>5</sub> alkoxy substituted phenyl]; each optionally substituted with C<sub>1</sub>-C<sub>5</sub> alkyl or a phenyl group optionally substituted with halogen, C<sub>1</sub>-C<sub>5</sub> alkyl or C<sub>1</sub>-C<sub>5</sub> alkoxy].

15. (Amended) The [A] compound according to claim 13 [14], wherein X and X<sup>1</sup> are independently selected from the group consisting of Cl, CF<sub>3</sub>CO<sub>2</sub>, CH<sub>3</sub>CO<sub>2</sub>, CFH<sub>2</sub>CO<sub>2</sub>, (CH<sub>3</sub>)<sub>3</sub>CO, (CF<sub>3</sub>)<sub>2</sub>(CH<sub>3</sub>)CO, (CF<sub>3</sub>)(CH<sub>3</sub>)<sub>2</sub>CO, PhO, MeO, EtO, tosylate, mesylate, and trifluoromethanesulfonate.

16. (Amended) The [A] compound according to claim 15, wherein X and X<sup>1</sup> are both Cl.

17. (Amended) A compound of the formula



wherein:

M is selected from the group consisting of Os and Ru;

R<sup>1</sup> is hydrogen;

R is a group selected from the group consisting of

(a) hydrogen;

(b) C<sub>1</sub>-C<sub>4</sub> alkyl;

(c) phenyl;

(d) C<sub>1</sub>-C<sub>4</sub> alkyl substituted with one or more moieties [groups] selected from the group consisting of halide, hydroxy, and C<sub>2</sub>-C<sub>5</sub> alkoxycarbonyl; and

(e) phenyl substituted with one or more moieties [groups] selected from the group consisting of C<sub>1</sub>-C<sub>5</sub> alkyl, C<sub>1</sub>-C<sub>5</sub> alkoxy, amino, nitro, and halide;

X and X<sup>1</sup> are independently selected from any anionic ligand; and

L and L<sup>1</sup> are independently phosphines of the formula PR<sup>3</sup>R<sup>4</sup>R<sup>5</sup> wherein R<sup>3</sup> is selected from the group consisting of secondary alkyl and cycloalkyl and wherein R<sup>4</sup> and R<sup>5</sup> are independently selected from aryl, C<sub>1</sub>-C<sub>10</sub> primary alkyl, secondary alkyl and cycloalkyl.

18. (Amended) The [A] compound according to claim 17, wherein the substituted phenyl is para-substituted.

19. (Amended) The [A] compound according to claim 18, wherein R is phenyl or phenyl substituted with a moiety [group] selected from the group consisting of chloride, bromide, iodide, fluoride, -NO<sub>2</sub>, -NMe<sub>2</sub>, methoxy, and methyl.

20. (Amended) The [A] compound according to claim 19, wherein R is phenyl.

21. (Amended) The [A] compound according to claim 17, wherein R is selected from the group consisting of hydrogen, methyl, ethyl, n-butyl, iso-propyl, -CH<sub>2</sub>Cl, -CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>OH, and -CH<sub>2</sub>OAc.

22. (Amended) The [A] compound according to claim 17, wherein L and L<sup>1</sup> are independently [independantly] selected from the group consisting of -P(cyclohexyl)<sub>3</sub>, -P(cyclopentyl)<sub>3</sub>, and -P(isopropyl)<sub>3</sub>.

23. (Amended) The [A] compound according to claim 17, wherein X and X<sup>1</sup> are both Cl.

24. (Amended) The [A] compound according to claim 17, wherein R is phenyl, M is Ru, X and X<sup>1</sup> are both Cl, and L and L<sup>1</sup> are the same and are selected from the group consisting of -P(cyclohexyl)<sub>3</sub>, -P(cyclopentyl)<sub>3</sub>, and -P(isopropyl)<sub>3</sub>.

#### REMARKS

The present invention relates to ruthenium and osmium metathesis catalysts. Claims 1-42 were originally filed with the application. In the first office action in the **parent** case, the Examiner determined that claims 1-24 and 37-38 are drawn to a carbene complexes and methods for making the same (Group I), that claims 25-29 and 40-41 are drawn to vinylidene complexes and methods for making the same (Group II), and claims 30-36 relate to various methods for use for the carbene or vinylidene complexes (Groups III-VIII).

In re Grubbs, *et al.*  
**Divisional** of Appl. No. 08/08/693,789  
*Preliminary Amendment*

PATENT

Applicants have elected to file a continuing application to separate claims 1-24 from previously allowed claims 37-38. Accordingly, this preliminary amendment cancels claims 25-42 and amends claims 1-24 to correct matters of form. No new matter has been added.

Double Patenting Rejection

In the Office Action in the **parent** case, the Examiner rejected claims 1-24 under the judicially created doctrine of double patenting over claims 1 and 4-5 of U.S. Patent No. 5,312,940. In view of the Examiner's rejections, a terminal disclaimer is filed herewith.

**CONCLUSION**

In summary, Applicants believe that all of the outstanding rejections have been traversed. If a discussion might help clarify or expedite the resolution of any issue in this case, the Examiner is encourage to telephone the undersign at (415) 433-4150.

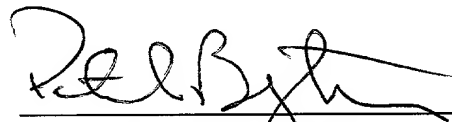
The Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No. 12-1420. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

LIMBACH & LIMBACH L.L.P.

January 14, 1998  
(Date)

By:

  
\_\_\_\_\_  
W. Patrick Bengtsson  
Registration No. 32,456

Attorneys for Applicant(s)

Atty. Docket No. CTCH-1630  
(CIT-2123-4C)

In re Grubbs, et al.  
Divisional of Appl. No. 08/08/693,789  
Preliminary Amendment

PATENT

Applicants have elected to file a continuing application to separate claims 1-24 from previously allowed claims 37-38. Accordingly, this preliminary amendment cancels claims 25-42 and amends claims 1-24 to correct matters of form. No new matter has been added.

Double Patenting Rejection

In the Office Action in the parent case, the Examiner rejected claims 1-24 under the judicially created doctrine of double patenting over claims 1 and 4-5 of U.S. Patent No. 5,312,940. In view of the Examiner's rejections, a terminal disclaimer is filed herewith.

**CONCLUSION**

In summary, Applicants believe that all of the outstanding rejections have been traversed. If a discussion might help clarify or expedite the resolution of any issue in this case, the Examiner is encourage to telephone the undersign at (415) 433-4150.

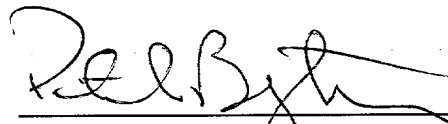
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Respectfully submitted,

LIMBACH & LIMBACH L.L.P.

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Registration No. 32,456

Attorneys for Applicant(s)

Atty. Docket No. CTCH-1630  
(CIT-2123-4C)

**TERMINAL DISCLAIMER TO OBVIATE DOUBLE  
PATENTING REJECTION OVER A PRIOR PATENT**

Docket Number: CTCH-1630  
[2123-4C]

In re Patent Application of: **Robert H. Grubbs, et al.**  
Application No. **NEW**  
Filed: **HEREWITH**  
For: **HIGH METATHESIS ACTIVITY RUTHENIUM AND OSMIUM METAL CARBENE  
COMPLEXES**

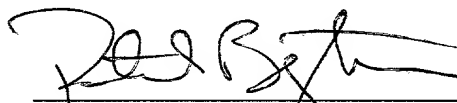
Petitioner, California Institute of Technology, is the owner of 100 percent interest in the instant application by assignment, recorded in parent U.S. Application No. 08/693,789 in the Patent and Trademark Office on October 10, 1996, at Reel 8183, Frame 0314, or for which a copy thereof is attached. Petitioner hereby disclaims, except as provided below, the terminal part of the statutory term of any patent granted on the instant application, which would extend beyond the expiration date of the full statutory term defined in 35 U.S.C. § 154 to § 156 and § 173, as presently shortened by any terminal disclaimer, of prior Patent No. 5,312,940. Petitioner hereby agrees that any patent so granted on the instant application shall be enforceable only for and during such period that it and the prior patent are commonly owned. This agreement runs with any patent granted on the instant application and is binding upon the grantee, its successors or assigns.

In making the above disclaimer, petitioner does not disclaim the terminal part of any patent granted on the instant application that would extend to the expiration date of the full statutory term as defined in 35 U.S.C. § 154 to § 156 and § 173 of the prior patent, as presently shortened by any terminal disclaimer, in the event that it later: expires for failure to pay a maintenance fee, is held unenforceable, is found invalid by a court of competent jurisdiction, is statutorily disclaimed in whole or terminally disclaimed under 37 C.F.R. § 1.321, has all claims cancelled by a reexamination certificate, is reissued, or is in any manner terminated prior to the expiration of its full statutory term as presently shortened by any terminal disclaimer.

LIMBACH & LIMBACH L.L.P.

Date: January 14, 1998

By



W. Patrick Bengtsson  
Registration No. 32,456

Attorney(s) of Record

☒ Terminal disclaimer fee under 37 C.F.R. § 1.20(d) included.